

# Approaches to Open Access

*CRKN AGM 2018 – Vancouver, October 17, 2018*

# Agenda

- CRKN's Open Access Strategy: Overview
- CRKN Member Participation in Open Access Projects
- Current Context
- Review of Open Access Models and Opportunities
- OA2020 Presentation from Colleen Campbell
- Developing CRKN's Open Access Strategy



## What Members Are Saying in Strategic Planning...

*“CRKN should be doing more advocating on open access and scholarly communication, like our European colleagues.”*

*“CRKN should be exploring more alternative open access models in place of traditional vendor licensing.”*

*“Supporting and facilitating the move to open access has a huge potential to create change.”*



# CRKN Open Access Strategy

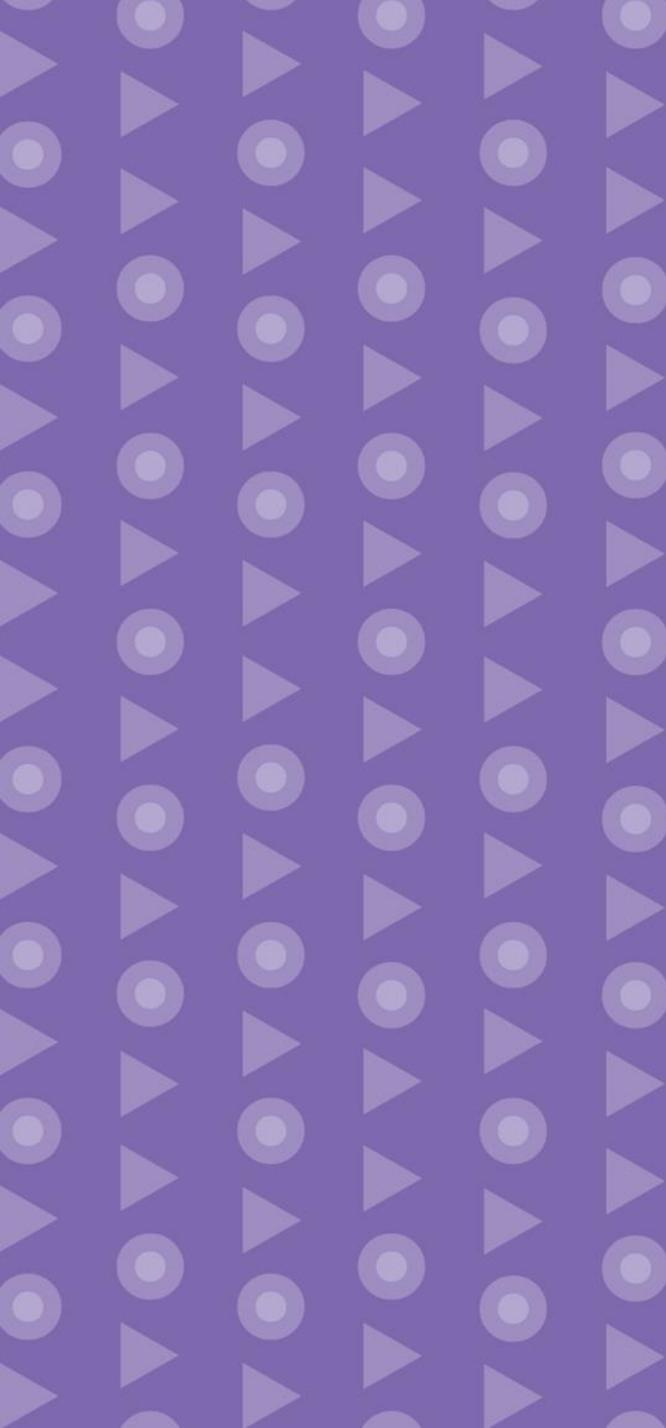
In member consultations during strategic planning in 2013, 2016 and this year, members have clearly identified open access as a strategic priority that CRKN should pursue.

At the 2016 AGM members discussed criteria that the CSC could use to evaluate open access opportunities for the consideration of CRKN member participation.

The OA landscape has evolved both in Europe and in parts of the US with the large scale pursuit of the OA2020 initiative and with the recent launch of Coalition S.

In light of this evolution, members are invited in this session to provide guidance on how CRKN should pursue open access opportunities for members. This discussion will also feed in to CRKN strategic planning.





# Current CRKN Member Participation in OA Projects

# International OA Initiatives

- **SCOAP<sup>3</sup>**
  - CRKN is national contact point
  - CRKN Executive Director sits on the Governing Council and Chairs the Executive Committee
- **Knowledge Unlatched**
  - CRKN facilitated a grant for institutions to participate in the 2016 round of Knowledge Unlatched
- CARL continues to add more Canadian journals to the Sherpa/Romeo database
- Other initiatives supported at the regional and individual institutional level



# National Initiatives

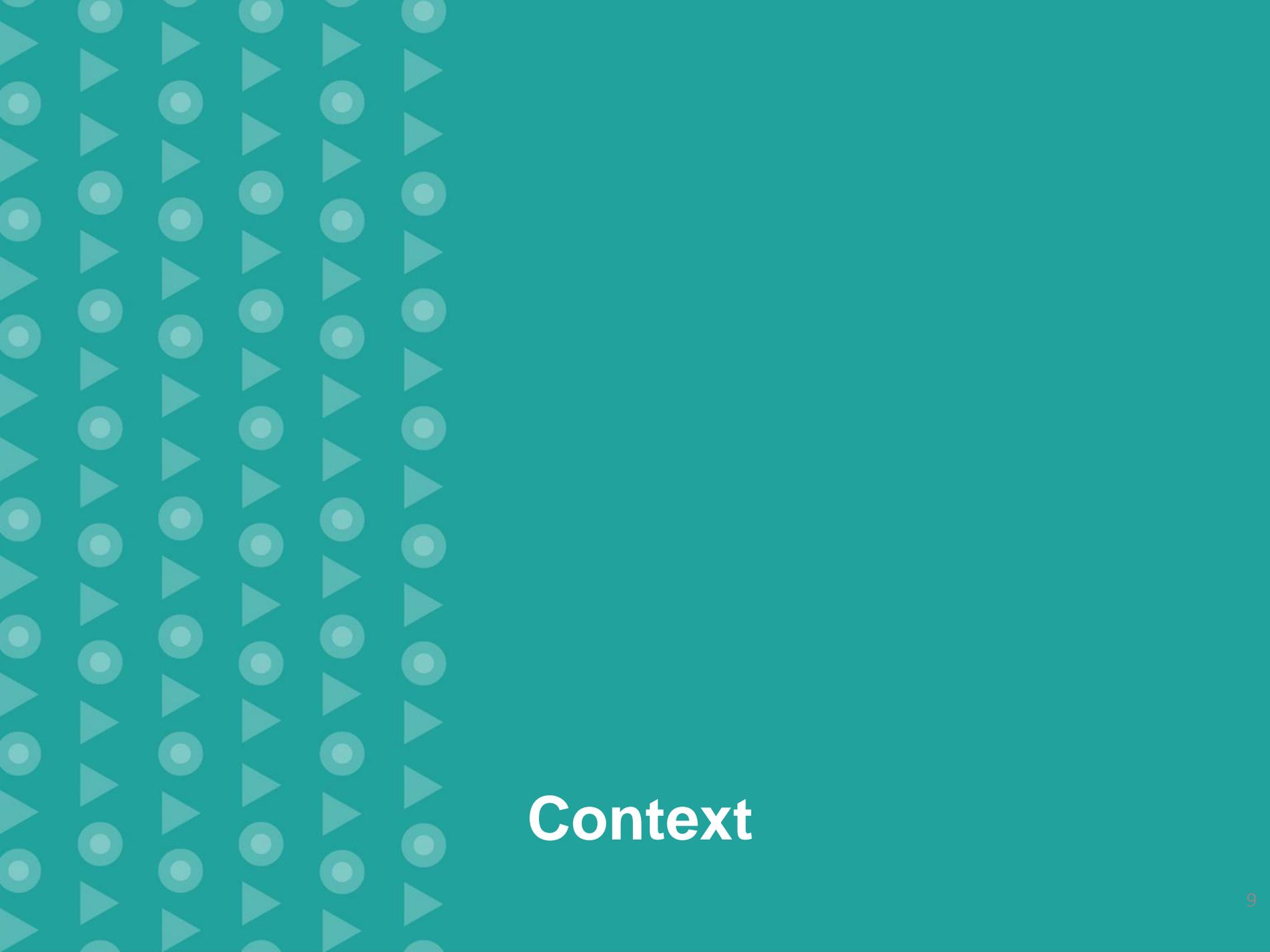
- **Coalition Publi.ca**
  - Starting in 2018, CRKN members have committed to a five year partnership with Érudit to support the Coalition Publi.ca initiative, by providing financial support to Canadian journals during the transition to a fully open access model.
- **Canadiana**
  - Access at no charge to users starting in January 2019 with goal of open access in 12-18 months
- CARL developing Open Access Policy Template to aid institutions in developing an OA policy
- CARL Open Repositories Working Group
- CARL updated the Canadian SPARC addendum for use by Canadian researchers to allow them to better retain their rights when they are published
- Other initiatives at the regional and individual institutional level



# Update on Coalition Publi.ca

- 87 journals are completely open access (53 current, 34 archives)
  - 4 journals transitioned to OA in 2017 because of the CRKN-Érudit partnership
  - 1 journal will be transitioning to OA for 2019
- Discussions continue with journals to encourage them to eliminate the 12 month embargo
- Discussions continue with journals considering joining the platform
- The funding gathered in 2017 (the additional voluntary contributions) will be used to better fund the journals that have already transitioned to full open access. This additional funding will serve as an incentive for those journals who are considering eliminating their embargo.
- Coalition Publi.ca Advisory Committee
  - CRKN represented by Todd Mundle and Monica Ward (ex-officio)





# Context

# Review of OA Priorities Discussion from 2016

During the 2016 AGM, the CSC gave a presentation and invited input to the criteria by which CRKN should be evaluating OA initiatives for support by CRKN members.

In that session the following criteria were identified:

- Quality\*
- Canadian content\*
- Consortial advantage
- Pragmatic approach/taking actions with existing subscriptions
- Initiatives with clearly articulated statement on their aims/goals

*\*most frequently mentioned*



# License Transition Task Group (LTTG) Recommendations

*Recommendation #9: Place increased emphasis on scholarly communication initiatives to achieve CRKN's vision and national strategy approach*

- Potential actions suggested by LTTG
  - Expand existing content partnerships with Érudit/Coalition Publi.ca, SCOAP<sup>3</sup>, Knowledge Unlatched, and seek other collaborators promoting sustainable models outside of the commercial sector

*Recommendation #10: Plan for movement away from commercial licensing to sustainable publishing options and eventual Open Access*

- Potential actions suggested by LTTG:
  - Re-visit current CRKN mission and vision statements emphasizing content licensing from commercial vendors
  - **Determine CRKN's role in light of national and international movements towards alternative, sustainable scholarly communications (e.g. models such as The 2.5% Commitment, Jussieu, OA2020)**





# Open Access Models & Opportunities

# Read and Publish Models

A consortium or country negotiates an agreement where for the license fee, users can read, and authors can publish OA, all in the same agreement. This usually results in hybrid open access, as it is on the article level, rather than the journal level.

## Opportunities

- Works within the current model that many researchers are familiar with; allows researchers to still publish in their preferred journals

## Challenges

- Still involves large sums of money going to commercial publishers
- May be recreating or maintaining some of the issues the Big Deal, but now with the added complication of APCs
- Very complex to administer if APC tracking/calculations are required
- Hybrid journals create complications such as 'double dipping' for other subscribers not part of these agreements



# “Flip” Models

Usually involves a whole journal being moved to fully OA, by libraries and other subscribers committing to maintaining their subscription fee in exchange for the journal becoming OA. An example of this model could be SCOAP<sup>3</sup> and OA2020.

## Opportunities

- Works within the current model that many researchers are familiar with; allows researchers to still publish in their preferred journals

## Challenges

- Still involves large sums of money going to commercial publishers
- Complex to administer, especially as it requires international cooperation
- Free-rider problem (not all current subscribers may participate, leaving a shortfall)



# Alternative models / non-commercial models

Initiatives involving not-for-profits, libraries, or other more ‘grass roots’ organizations outside of the traditional or commercial publishing system. Some examples are library publishing services, Coalition Publi.ca, Open Libraries of Humanities, and preprint servers (eg. arXiv).

## Opportunities

- Moves away from commercial publishing, removing the need for profit

## Challenges

- May involve “new” funds, rather than using existing subscription funds
- Requires significant change of researchers mindset and current practice of publishing in prestigious journals
- Requires shift in P&T criteria
- May take time to gain acceptance in scholarly community
- Slow transition over many years



# APC discounts / agreements

These may involve APC discounts/tokens as part of a license agreement for access, or could be a standalone agreement for bulk payment of APCs.

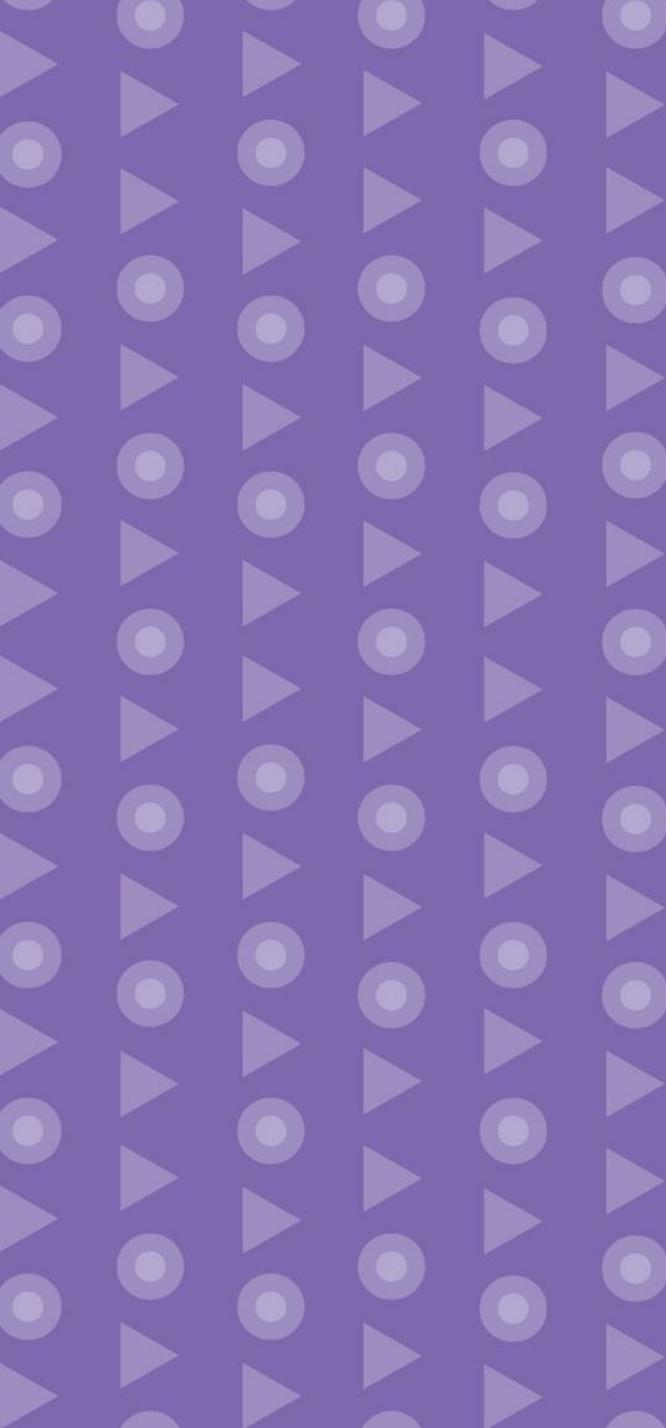
Opportunities	Challenges
<ul style="list-style-type: none"><li>• Works within the current model that many researchers are familiar with; allows researchers to still publish in their preferred journals</li></ul>	<ul style="list-style-type: none"><li>• Administrative requirements for process and tracking</li><li>• Communications: ensuring authors know about discounts or tokens</li><li>• Could result in double dipping</li><li>• Limited potential for large scale impact</li></ul>



# OA2020 roadmap for large-scale transformation

*Presented by Colleen Campbell, OA2020  
Partner Development, Max Planck Digital  
Library*





# Developing CRKN's Open Access Strategy

# Building a member directed and defined open access strategy for CRKN

How do we define CRKN's role in open access and determine an approach that balances varied member needs?

- Cost containment
- Need for ongoing licensed content
- Other priorities



# Activity 1: Discuss & Brainstorm

## Step 1: Table Discussion (10 minutes)

With your colleagues at your table, discuss the open access approaches and initiatives you'd like to see CRKN pursue.

## Step 2: Individual Brainstorm (5 minutes)

Using the stickies provided, individually list out your recommendations for open access approaches and opportunities. Organize your recommendations according to CRKN's potential role: **LEAD**, **SUPPORT**, **BE AWARE**. Use pink for **LEAD**, blue for **SUPPORT**, and yellow for **BE AWARE**.



# Activity 2: Organize

Walk around the room and organize your recommendations and approaches according to categories, as defined during strategic planning member consultations:

- Participate in partnerships
- Participate in international initiatives
- Participate in a national strategy
- Work within current licensing frameworks
- Participate in...
- Participate in...

\*Note that new categories can be added as need.



# Synthesizing Your Vision for the Future: Questions & Discussion

Where should CRKN lead, where should CRKN support, and what initiatives and approaches should CRKN be aware of?

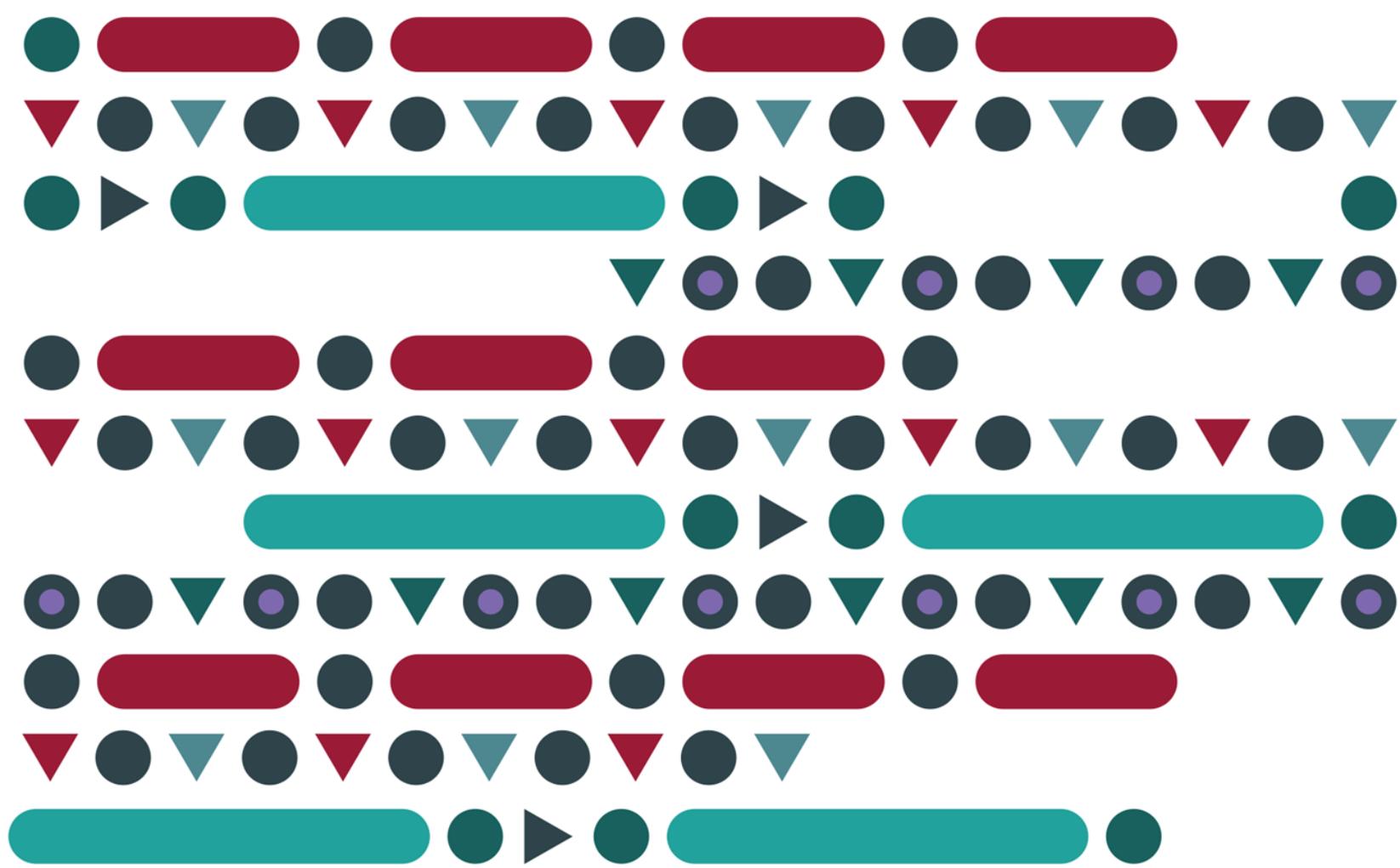
<b>Lead</b>	<b>Support</b>	<b>Be Aware</b>
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# Next Steps

- The CSC will consolidate recommendations and input for the Board (the CSC report will be circulated to members in advance)
- Board meets in January 2019 for strategic planning
- Recommendations will assist in reviewing open access opportunities between now and October 2019
- CRKN's open access approach to be formalized as part of the 2019-2024 strategic plan, to be ratified by members in October 2019





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