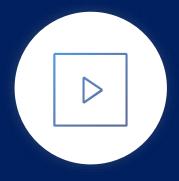


## **Open Access Publishing Workflow**

Author Journey to Agreement Manager



## Before we begin...



This session is being recorded



Submit your questions anytime using the Q&A function.

Questions will be answered at the end of the session



Please complete the exit survey





- Introductions
- **Benefits of Open Access**
- The author publishing journey
- Rightslink OA Agreement Manager
- Post sales support
- Contact details





### More downloads

OA articles are downloaded three times more than non-OA articles, on average



### Higher citations

OA articles receive 30-50% more citations than their non-OA equivalents



### Wider reach

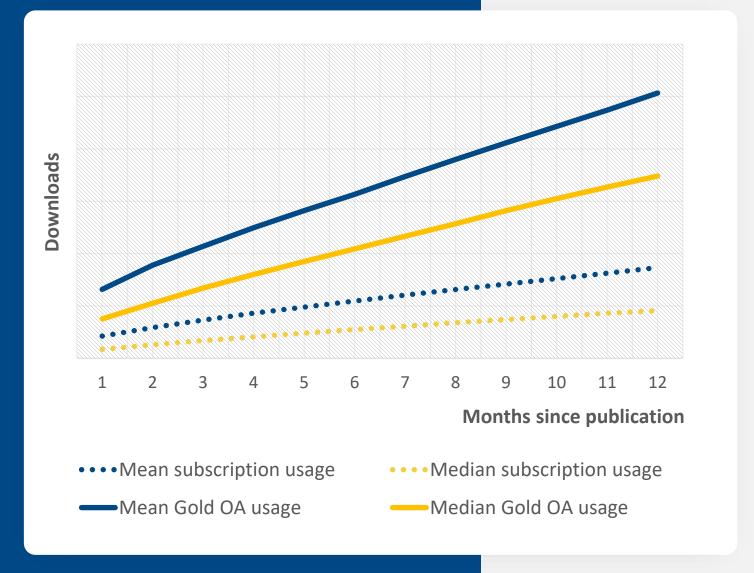
Freely available online globally, allowing anyone, anywhere to find, read, and benefit from research.



### Benefits of Open Access

OA content is freely available online, not hidden behind a paywall, meaning increased discoverability, usage, and the potential for higher citations for your work.

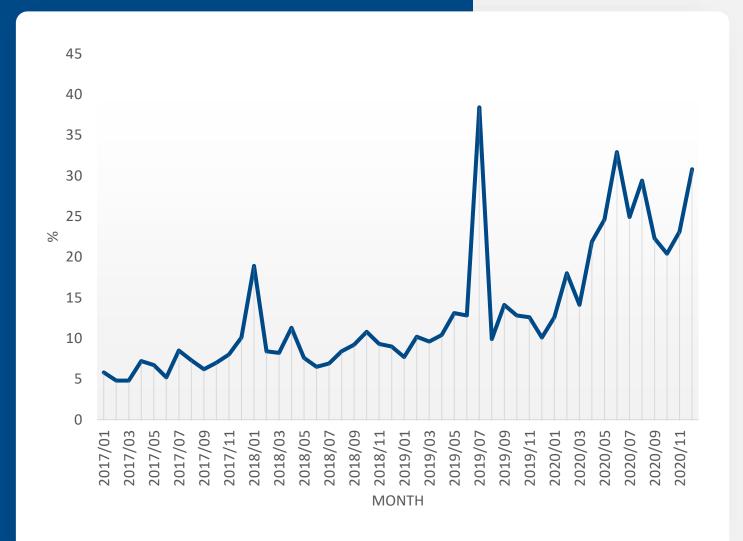




Gold OA articles receive three times the usage of subscription articles within their first year, on average.

Data shown for 18,000 CUP research articles published in 2019, showing accumulative usage over 12 months





Gold OA accounted for **22%** of our article publishing in 2020, and is steadily growing.





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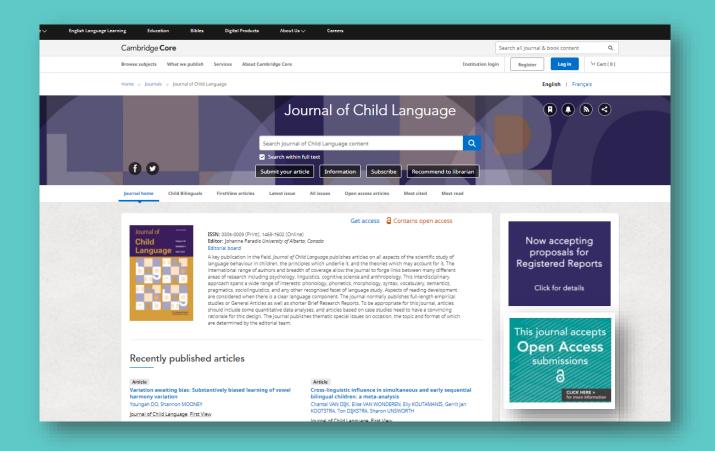
## Open Access Publishing Workflow



All articles submitted to Cambridge University Press undergo the same **rigorous peer-review** to sustain the high quality of our journals.

The decision to publish an article is not affected by whether it will be published Open or behind a paywall.

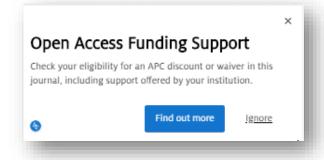




# Journal homepages

- Information on manuscript preparation is available on the journal's homepage on Cambridge Core.
- Open Access information is signposted on these pages.

Pop ups appear on relevant gold OA and hybrid journal pages to invite authors to check their eligibility for an APC waiver / discount using our checker tool.







## Submit manuscript

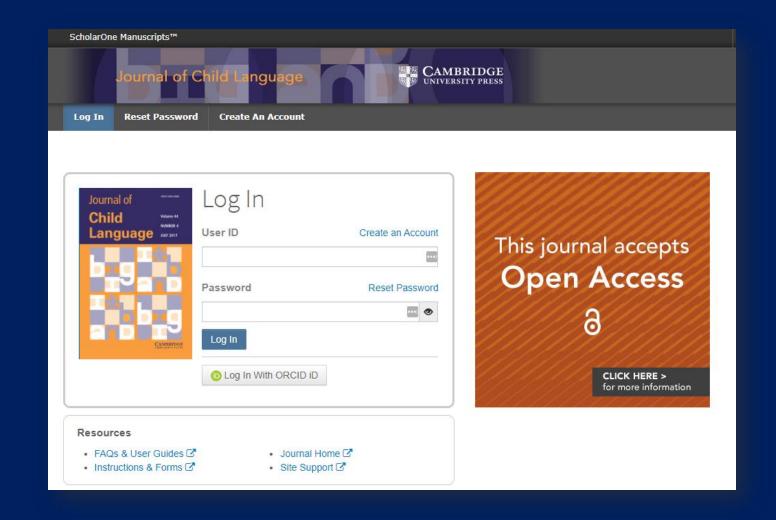
The submission system – aka Online Peer Review System – can be accessed from the journal's homepage on Cambridge Core.



To submit:

Log in, using either a username and password or ORCID.

During this process, identify the corresponding author whose affiliation is used to determine eligibility





### Peer review

Manuscript then proceeds through editorial and peer review



- Ensures submitted article is suitable for journal
- Ensures article is sound and accurate
- Alerts to errors
- Provides constructive feedback



#### Possible outcomes:

- Accepted without any change
- Revise and resubmit
- Paper rejected





### Acceptance

If an article is accepted, following the completion of any revisions required, authors will receive an acceptance email.

#### RE: <<JOURNAL NAME>> - Decision on <<MANUSCRIPT NAME>>

Dear << AUTHOR NAME>>,

We're delighted to confirm that your manuscript entitled "ARTICLE NAME" has been accepted for publication in << JOURNAL NAME>> in its current form.

If you have not yet done so, please complete and return the Author Publishing Agreement (APA) as soon as possible: <<LINK>>.

As << JOURNAL>> also offers Open Access (OA), you can now decide whether you would like to publish your article as OA. Publishing OA allows anyone, anywhere to find, read, and benefit from your research which will give you increased visibility, greater accessibility, and global impact. To help you decide, you can find out more about publishing OA on our information page. If you choose to publish OA, please ensure you select the appropriate form when completing the APA.

Publishing Open Access requires payment of an <u>article processing charge (APC)</u>, collected by our partner, CCC-Rightslink. However, to support OA publishing, we also offer a variety of waivers and discounts which means you may not have to pay an APC. To check your eligibility, please visit our <u>waivers and discounts</u> information page.

To find out more information about what will happen next, things you need to know, and a variety of resources to help you during the process, please visit our publishing an accepted paper information page.

You'll now be assigned a dedicated Content Manager, who you will hear from shortly.

Congratulations and thank you once again for your contribution to << JOURNAL NAME>>.

Kind regards, Editorial office



## Acceptance

Receive email with link to complete author publishing agreement (license to publish form)



Complete Open Access agreement, select a creative commons licence and return to Content Manager (production contact)



Record created in production tracking system



#### Author publishing agreement

Before Cambridge University Press can publish a journal article (or any other journal content type) we need a signed licence to publish. To find the right form, start by considering whether or not your article will be published as open access or non-open access and then select the most appropriate option.

#### Open Access Articles

So that we have the necessary rights to publish your article, we ask you to grant a non-exclusive licence to publish. (We do not ask you to transfer your copyright to us.) A non-exclusive licence means that the rights needed to publish the paper are granted to the journal owner on a non-exclusive basis and the ownership of the copyright remains unchanged. Select the correct form from the choice of two in the table below.

In open access there is a fundamental principle that content should not only be accessible but also be freely reusable for the good of research and humanity. We comply with this principle by asking you to select, within your form, a Creative Commons licence. The CC licence you choose will determine how readers can use your article.

| Who are you and who owns the copyright?   | Correct<br>Forms | Who Signs?                    |
|---|------------------|-------------------------------|
| I am the sole author and <b>I own</b> the copyright in the article <b>OR</b> I am one of two or more authors and <b>we jointly own</b> the copyright in the article   | 7                | One author                    |
| I am the sole author and my employer (government or non-government) owns the copyright in the article OR I am one of two or more authors and one or more of our employers (government or non-government) jointly own the copyright in the article | 7                | Each author and each employer |



# Improved digital licensing solution

Receive an information request form from **Ironclad**, prepopulated with information from submission system



Open Access publication and CC-BY are preselected. Specific messaging to authors recognized as eligible under an institutional Open Access agreement.



Contract generated automatically and signature signed digitally





#### Information Request from Cambridge University Press

Please complete this information request form. We will use the information you provide to prepare a publishing agreement for review and signature.

Fields marked with an asterisk (\*) are required.

#### Access Information

#### Confirm Open Access (OA) publication

Your article is eligible for publication on an OA basis at no cost to you thanks to an agreement between Cambridge University Press and your institution. Your article will therefore be published OA, unless you choose to decline OA publication below.

- Open Access (freely available online)
- O Decline Open Access (only available behind a subscription paywall)

#### **Creative Commons Licence**

Please confirm the Creative Commons licence under which the article should be published for Gold Open Access. Please note that your funder or institution may require you to select a particular licence, e.g. CC-BY. To find out more, please visit: www.cambridge.org/creative-commons

- CC BY (Attribution)
- ☐ CC BY SA (Attribution ShareAlike)
- CC BY NC (Attribution NonCommercial)
- CC BY ND (Attribution NoDerivatives)
- CC BY NC SA (Attribution NonCommercial ShareAlike)
- CC BY NC ND (Attribution NonCommercial NoDerivatives)

#### Article Processing Charge

#### Confirm the type of entity that will fund Open Access (OA) publication

OA publication usually incurs an article processing charge (APC). In this case, your article is eligible for funding by your institution. This means you can publish OA at no cost to you. If you have access to alternative funding, please select the relevant entity below.

My institution

X '

125 titles currently live

## Convert your article to Open Access

Dear Author Name,

This message is regarding your article, 'Article Name', in *Journal Name*. Through your affiliation with Institution Name and its <u>publishing agreement</u> with Cambridge University Press, you are eligible to make your article Open Access (OA) at no cost to you.

So that you don't miss out, we've made it easy for you to take advantage of this opportunity:

Simply select a creative commons licence by completing this online form.

As the corresponding author, you must have full authority to execute this form on behalf of any co-authors. If you do not complete this form, we will not take any action to convert your article to OA.

If you have any questions, please read our FAQs or email OAqueries@cambridge.org.

#### Why publish Open Access?

Publishing OA allows anyone, anywhere to find, read, and benefit from your research. OA articles:

- · are downloaded three times more than non-OA articles, on average
- receive 30-50% more citations
- · are freely available online globally

You can find out more on our Publishing OA information page.

Kind regards

**OA Queries** 

Cambridge University Press

### **Open Access**



more







### Retroactive OA

Authors who do not select the OA option and are identified as eligible under an agreement are informed of the opportunity via. a monthly outreach campaign.

A simple online form allow authors to select their creative commons licence. This form functions as an addendum to any existing agreement.

If a contract has been processed on Ironclad, authors will amend their original agreement.



### **APC Waiver Process**



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Affiliated authors of eligible articles do not interact with the APC transaction process.

\*Articles can be automatically approved, or institutions can approve or deny funding.

### Confirmation

Notification sent to the corresponding author to confirm that the APC waiver/discount has been approved and applied, and that the article will be published OA.

### Your article has been accepted and will be published open access

Dear

Congratulations on being accepted for publication in for the following manuscript:

Manuscript DOI: Manuscript ID: Manuscript Title:

Published by: Cambridge University Press

Total Charges Covered:

Funding for your open access publication charges has been covered by CCC University based on an agreement between CCC University and Cambridge University Press.

If there are any additional publication charges available to you, such as page or color charges, we will send a payment link for those charges under separate cover.

Sincerely, Cambridge University Press

Tel.: +1-877-622-5543 / +1-978-646-2777

<u>CambridgeSupport@copyright.com</u>

www.copyright.com





## Librarian Touch Points and Messaging



Messaging which may be received by your Agreement Manager administrator for the duration of your Transformative Agreement with Cambridge University Press

| Message Received  | Trigger   | Action Required   |
|---|---|---|
| "Welcome to RightsLink"   | Sent after you have been granted access to the Agreement Manager Institutional Portal for the first time                | Follow the instructions and complete the reset password process to log into Agreement Manager |
| "One of your researchers has had a manuscript accepted for publication" | If automatic approvals are off, the institution gets notified that an accepted manuscript is eligible for their funding | User invited to log in to Institutional Portal to review and act upon the request.            |





| Message Received   | Trigger  | Action Required  |
|--|--|--|
| "Manuscript decision"  (from CUP)                                      | Sent when an article has been accepted for publication   | This is the key decision point for the author to make their article open access. Author must complete their author publishing agreement and choose Open Access |
| "Welcome e-mail from CUP production"  (from CUP)                       | Sent after CUP production has<br>updated the article record with the<br>author publishing agreement  | None required if author has already completed their author publishing agreement  |
| "Your APC funding request has been approved/denied"  (from Rightslink) | If automatic approvals are on: immediately on auto approval of the funding by RightsLink. If automatic approvals are off: once the librarian has approved or denied the funding in Agreement Manager | None. Information only   |





- Introductions
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- Rightslink OA Agreement Manager
- Post sales support
- Contact details

## OA Agreement Manager

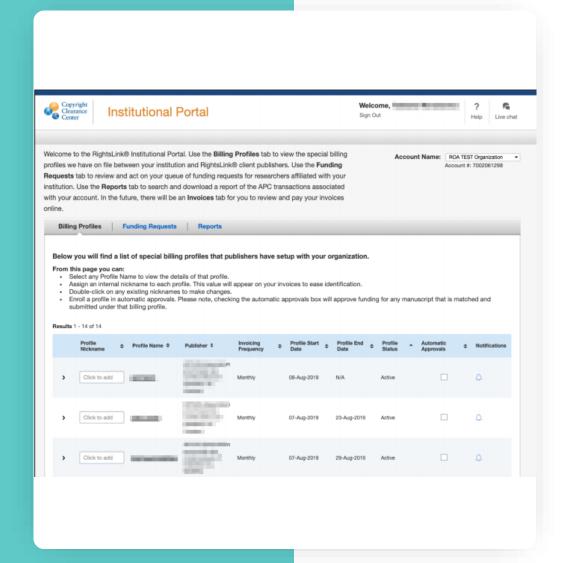
We work with *Rightslink*®-CCC to process article processing charges (APCs).

Institutions and authors participating in institutional Open Access agreements benefit from *Rightslink*®'s tool, OA Agreement Manager, which has been purpose-built to facilitate smoother financial transactions for authors and administrators.

This allows us to identify authors who are eligible for an APC discount/waiver and automatically apply a waiver, meaning an easier journey for the author.

Institutions may also review and authorise APC funding requests.





### **Institutional Portal**

Once on-board, administrators will receive log-in details.

Login: <a href="https://apcfunding.copyright.com/">https://apcfunding.copyright.com/</a>

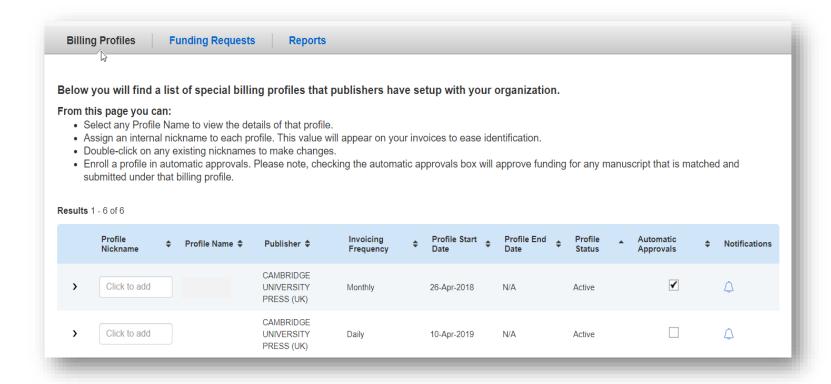
#### How to:

- 1. View and update your profile
- 2. Turn on automatic approvals
- 3. Set up alerts



## Updating your profile

On the billing profiles tab you can see the institution(s) that you are set up to administer.



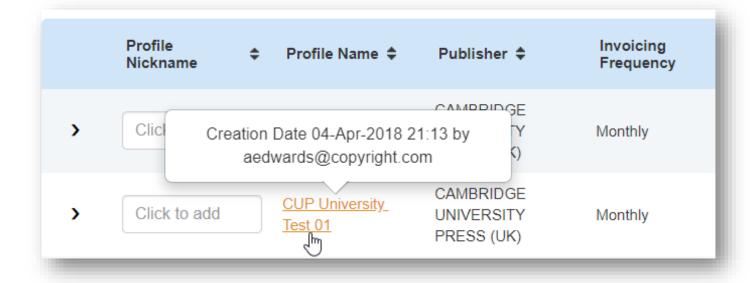


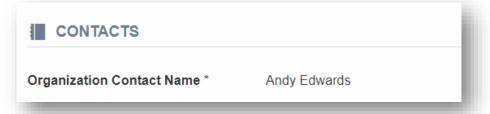
## Updating your profile

To see details held on your billing profile click the Profile Name link.

You can edit your contact information within this space.

If you wish to change the email address that you use to log in to Agreement Manager, please get in touch with us.

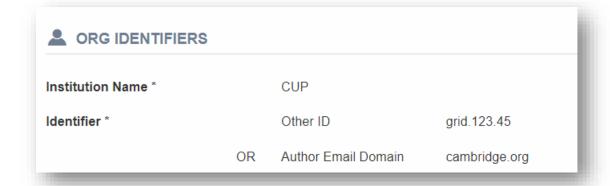






## Updating your profile

You can also see information about your profile including the journals titles eligible under your deal, and the Institutional Identifiers we have associated with your account.



| PROFILE ATTRIBUTES    |   |
|-----------------------|---|
| Eligible Product(s) * | Open Access   |
| Eligible Journal(s) * | Advances in Archaeological Practice, Africa: Journal of the International African Institute, African Studies Review, Ageing & Society, American Antiquity, American Political Science Review, |
|                       | Ancient Mesoamerica, Anglo-Saxon England, Annals of Actuarial Science, Annual Review of   |



### Turn on automatic approvals

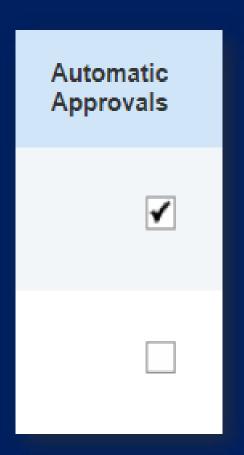
Institutions can opt for articles to be approved automatically. We strongly recommend that you **turn on automatic approvals** for article publications by ticking the automatic approvals box.

### We recommend this because:

- No delay in article publication for the author
- Reduced administration

Automatics approvals can be switched on or off at any time throughout the agreement

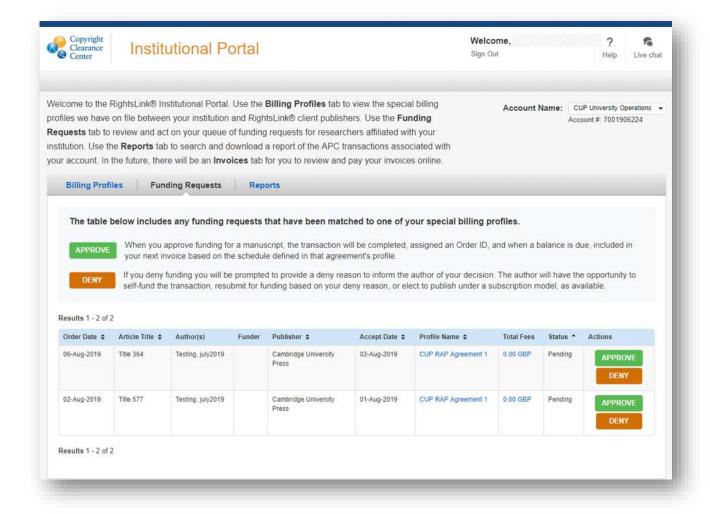
\*\*\*If you are renewing your agreement for 2022 you will need to turn on automatic approvals again as your 2022 agreement will default to having approvals off\*\*\*





## **Approvals**

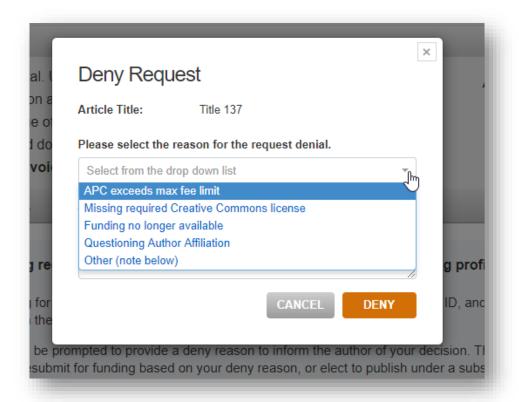
If automatic approvals are turned off, the administrator will need to go into Agreement Manager to approve or deny the funding.

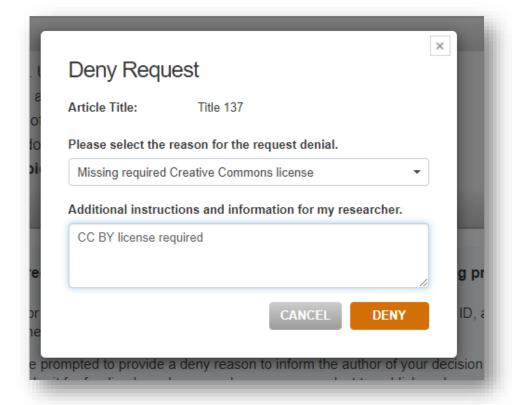




### **Denials**

If denying, a reason for denial must be given. This information is sent to the author in a denial notification email.







### **Notifications**

Irrespective of the automatic approval status, administrators will still receive emails notifications (for funding requests).

These email notifications can be suppressed from within the institutional profile.

| Specify the organizational contact<br>acceptance and of pending funding | <ul><li>(s) who should receive notifications of manuscrip<br/>g requests.</li></ul> | ot                 | Please specify who this organiza<br>or special billing arrangement.               | ation should contact if they have questions about the profile |  |  |  |
|---|---|--------------------|---|---|--|--|--|
| Organization Contact Name *   |   | $\ominus$ $\oplus$ | Publisher Contact Name *  |   |  |  |  |
| Organization Contact Email *  |   |                    | Publisher Contact Email *   | OAqueries@cambridge.org                                       |  |  |  |
| Organization Contact Phone *  |   |                    | Publisher Contact Phone *   |   |  |  |  |
| Primary Contact   | Suppress Email Notifications  |                    | ☐ I consent to have my contact information shared with my publisher and/or fundin |   |  |  |  |

| Your author has requested APC funding.  |
|---|
| Dear  |
| Your author has requested funding from  |
| Request Date: Publisher: Cambridge University Press Publication: Article Title: Author(s):  Please click here to view details and respond.  Sincerely, Cambridge University Press |
| Tel.: +1-877-622-5543 / +1-978-646-2777 CambridgeSupport@copyright.com www.copyright.com  RightsLink®   |



### **Notifications**

Agreement Manager administrators can set up notifications based on spending amounts or APC tokens used or remaining.

Click the bell to enter notification setup:

| Profile<br>Nickname | <b>‡</b> | Profile Name \$ | Publisher \$                          | Invoicing<br>Frequency | <b>‡</b> | Profile Start Date | Profile End<br>Date | <b>‡</b> | Profile<br>Status | • | Automatic<br>Approvals | <b>‡</b> | Notifications |
|---------------------|----------|-----------------|---------------------------------------|------------------------|----------|--------------------|---------------------|----------|-------------------|---|------------------------|----------|---------------|
| > Click to add      |          | Andy Test       | CAMBRIDGE<br>UNIVERSITY<br>PRESS (UK) | Monthly                |          | 26-Apr-2018        | N/A                 |          | Active            |   | <b>~</b>               |          | Φ             |

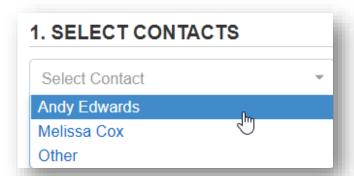


### **Notifications**

Select the contact from your organisation who you want to receive the notification:

Set when you would like to be notified, either when APC tokens used/remaining reaches a certain threshold, or when funds used/remaining (GBP) reaches a certain threshold.

Remember to 'save'!



| 2. SELECT TRIGGER       |   |
|-------------------------|---|
| Trigger this email when | <ul> <li>Amount Approved for Invoicing</li> <li>Number of APC Tokens Used</li> <li>Number of APC Tokens Remaining</li> <li>APC Threshold - Funds Remaining</li> </ul> |
| has reached             | 500   |







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- **✓** Post sales support
- Contact details



## Reporting

On a regular basis you will be sent a report by Cambridge which includes data for both Open Access and subscription based articles published by corresponding authors at participating institutions.

| PII               | Publication Status | DOI                       | Article Title | Article Type     | OA Article | OA Licence Type | Journal Mne | Journal Title   | Journal Subject |
|-------------------|--------------------|---------------------------|---------------|------------------|------------|-----------------|-------------|-----------------|-----------------|
| S0007123420000356 | first-view         | 10.1017/S0007123420001234 | Title 1       | Research-Article | Yes        | CC-BY           | JPS         | Journal title 1 | Subject 1       |
| S0963548320000358 | first-view         | 10.1017/S0963548320001235 | Title 2       | Research-Article | Yes        | CC-BY           | CPC         | Journal title 2 | Subject 2       |
| S0963548320000590 | first-view         | 10.1017/S0963548320001236 | Title 3       | Research-Article | Yes        | CC-BY           | CPC         | Journal title 3 | Subject 3       |
| S1755048320000644 | first-view         | 10.1017/S1755048320001237 | Title 4       | Research-Article | Yes        | CC-BY           | RAP         | Journal title 4 | Subject 4       |
|                   |                    |                           |               |                  |            |                 |             |                 |                 |
| S1742170520000356 | first-view         | 10.1017/S1742170520001238 | Title 5       | Review-Article   | No         |                 | RAF         | Journal title 4 | Subject 5       |

| Current OA Status | Enters to Prod Date | Online Publication Date | GRID ID       | GRID Name    | GRID Country  | Affiliation Record                                     | Affiliated Author |
|-------------------|---------------------|-------------------------|---------------|--------------|---------------|--|-------------------|
| Hybrid OA         | 19/06/2020          | 18/11/2020              | grid.34421.xx | University 1 | United States | Departments of Physics, University 1                   | Author 1          |
| Hybrid OA         | 08/07/2020          | 12/10/2020              | grid.34421.xx | University 1 | United States |  | Author 2          |
| Hybrid OA         | 09/10/2020          | 10/11/2020              | grid.34421.xx | University 1 | United States |  | Author 3          |
| Hybrid OA         | 16/11/2020          | 15/12/2020              | grid.34421.xx | University 1 | United States |  | Author 4          |
|                   |                     |                         |               |              |               |  |                   |
| Hybrid OA         | 02/11/2020          | 27/11/2020              | grid.34421.xx | University 1 | United States | Departments of Agronomy and Horticulture, University 1 | Author 5          |



### Resources

We have a range of resources to help support your agreement



### For authors

Guidance on publishing OA cambridge.org/publishoa

Webinars cambridge.org/oa-videos



### For you

OA Information

<a href="mailto:cambridge.org/oa-lib-hub">cambridge.org/oa-lib-hub</a>
Read & Publish promotional resources

<a href="mailto:cambridge.org/rapresources">cambridge.org/rapresources</a>

Rightslink Institution Resource Center



### **Training**

Regular sessions to support your understanding of the author workflow and how to use

Agreement Manager

Training requests can be made via academictraining@cambridge.org



### **Open Access** Waivers and Discounts The costs of publishing Open Access (OA) are typically met through an Article Processing Charge (APC) that is paid by the author or author's funding body or institution. This APC might be waived or discounted if: · Your institution has an Open Access publishing agreement with Cambridge, such as a Read and Publish agreement · You are based in a low- and middle-income country on the Research4Life country list and publishing in our fully Gold Open Access journals Use our tool to find out if you are eligible for an Open Access waiver or discount Country/Territory of your institution: United Kingdom Name of your institution: University of Cambridge

# OA Waiver & Discount Tool

Allows authors to easily check their eligibility for an Open Access publishing agreement.

- APC discount available under agreement
- Link to deal agreement page (for specific terms agreed)
- Journals included in agreement



## Top tips



## Contact details

Make sure we have your correct contact details



## Automatic approvals

Reduce your administrative burden and optimize author experience



## Promote your agreement

Make sure authors are aware through your key channels





## **FAQs**



How does Cambridge identify an author's eligibility under an agreement?

An author will enter their affiliation details into the Online Peer Review System (OPRS) when submitting their article. Details in the 'affiliation string' that are necessary to identify eligibility under R&P include institution and email domain. We rely on authors to enter their correct affiliation details and work on a system of trust.

Once an article is accepted for publication, the metadata is fed into our Production system, where the affiliation string is automatically assigned a GRID, which allows us to match the institution to a R&P agreement.

If an article has an R&P eligible GRID, we assess the article under the criteria relevant to the agreement (article type, journal package, term of agreement). This allows us to confidently identify authors who are eligible for an APC waiver or discount through an OA publishing agreement.







# What is a GRID id?

The Global Research Identifier
Database (GRID) is an openly
accessible database of
educational and research
organisations worldwide,
maintained by Digital Science
& Research Solutions Ltd.

A GRID is assigned to the article's metadata before it is sent to Rightslink®.

The GRID assigned is based

on the corresponding author's institutional affiliation.

Institutions are not required to provide GRIDs as we take this information from the GRID database.









## oaqueries@cambridge.org

