



Canadian Research
Knowledge Network

Réseau canadien
de documentation
pour la recherche

An Introduction to Transformative Agreements in Canada

Webinar #1 – May 11, 2022 – 1:00p.m. EST – 3:00p.m. EST

crkn-rcdr.ca

The left side of the slide features a decorative vertical bar composed of several parallel lines in shades of red and dark red, with some circular accents.

Welcome

- Housekeeping:
 - Simultaneous translation
 - Participation
 - Chat box
 - Q&A period
 - Breakout groups
- Setting the stage – webinar series

AGENDA

- Welcome & Introductions
- Brief Overview of Transformative Agreements
- Panelist Presentations
 - University of Toronto and Karger Publications
 - Federal Science Library Network (FSLN): Springer Transformative Agreement
 - Regional Consortium's Experience with Read and Publish Agreements
 - Canadian Research Knowledge Network (CRKN) National Approach to Transformative Agreements
- Open Q&A
- Breakout Sessions
- Wrap up

Welcome & Introductions

Speakers:

- Craig Olsvik, Senior Manager, Licensing and Member Services, Canadian Research Knowledge Network (CRKN)
- Graeme Slaght, Acting Head of Scholarly Communications and Copyright Outreach Office, University of Toronto Libraries
- Weijing Yuan, Head, Acquisitions & Collections Services, University of Toronto Libraries
- Nina Bursey, Library Partnerships Officer, Federal Science Libraries Network
- Allison Kelley, Chief, Federal Science Libraries Network
- Kate Langrell, Copyright Coordinator, University of Saskatchewan and member of COPPUL's Scholarly Communications Working Group
- Carol Stephenson, Licensing Coordinator, Council of Prairie and Pacific University Libraries (COPPUL)



About CRKN

For our member organizations and the diverse communities they serve, CRKN empowers researchers, educators, and society with greater access to the world's research and Canada's preserved documentary heritage, now and for future generations.

We deliver value to academic libraries, heritage organizations, and knowledge seekers within Canada in the following ways:

- Represent our membership in large-scale licensing and content acquisition activities;
- Collaborate to expand and enrich the digital knowledge ecosystem in Canada and the world;
- Advocate for fair and sustainable access to public research and content;
- Support the digital infrastructure required to preserve and access critical Canadian content;
- Mobilize our membership to transform scholarly communications in Canada.

Content Strategy Committee Members

Guylaine Beaudry (Chair)
Concordia University

Lisa O'Hara (Vice-Chair)
University of Manitoba

Alison Ambi
Memorial University of
Newfoundland

Arnald Desrochers
Bureau de coopération
interuniversitaire

Joanne Smyth
University of New Brunswick

Jean Blackburn
Vancouver Island University

Khalid Jouamaa
Université de Montréal

Ian Robson
Queen's University

Harriet Rykse
Western University

Sandy Stiff
MacEwan University

Weijing Yuan
University of Toronto

The CSC has responsibility for guiding CRKN's licensing and open access programs, including undertaking negotiations with publishers, setting strategies and objectives for renewals, and developing principles and guidelines that govern CRKN's activities in the scholarly communications arena.

Multiple Pathways to Open Access

- Partnership Models for Open Access Publishing
 - Coalition Publica, SCOAP³, OA2020, SCOSS, PLOS
- Green Open Access (deposit in institutional or disciplinary repositories)
- Transformative Agreements
- Lowering cost of Article Processing Charges (APCs)
- Reducing cost of commercial subscription agreements
- Developing criteria to assess and prioritize opportunities to invest in OA projects and publishing models outside of traditional licensing program

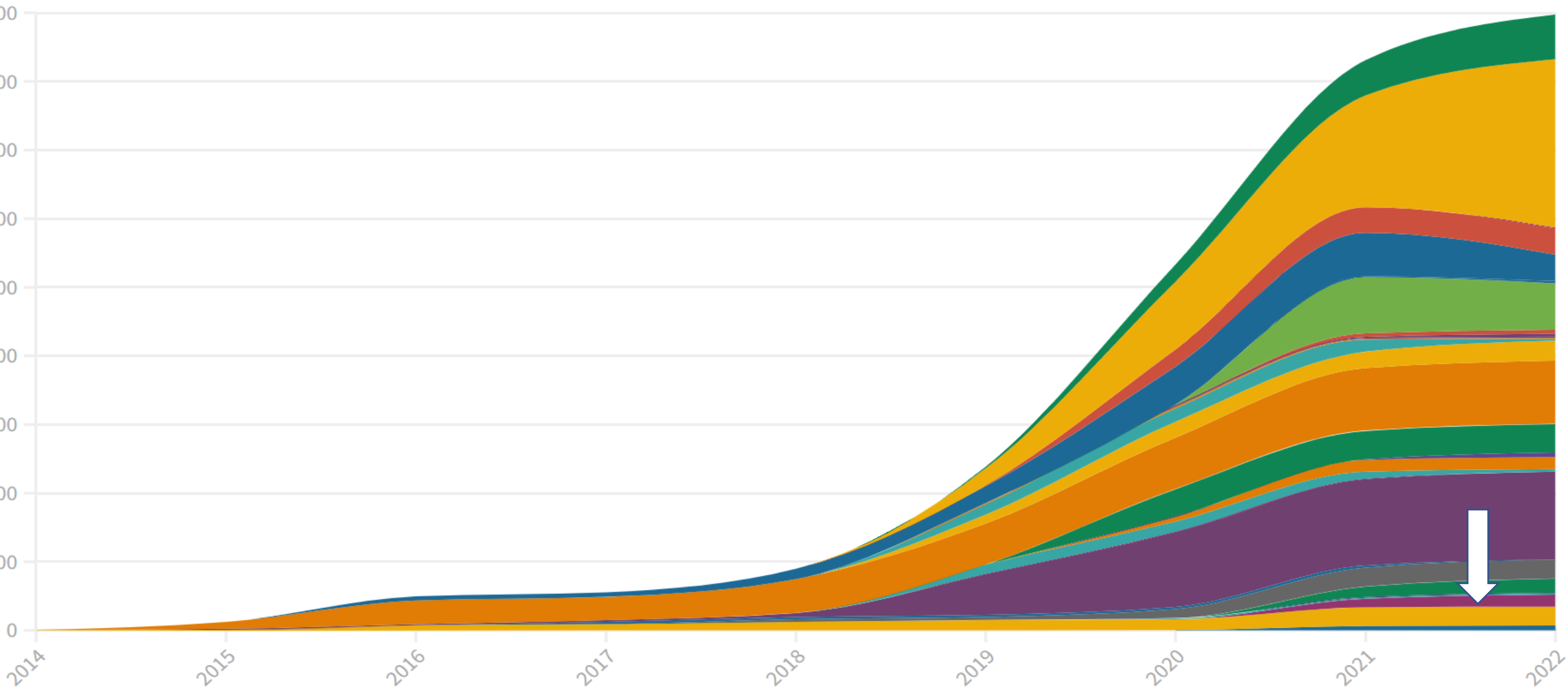


The Annual Cost of the Subscription Model (2022)

Elsevier:	\$31.7 Million US
Wiley:	\$15.3 Million US
Taylor & Francis:	\$10.6 Million US
Springer Nature:	\$10.1 Million US
SAGE:	\$5.5 Million US (TA)
American Chemical Society:	\$3.8 Million US
Oxford University Press:	\$3.2 Million US
Cambridge University Press:	\$2 Million US (TA)
Institute of Physics:	\$1.8 Million US (TA)
Royal Society of Chemistry:	\$800k US (converted from GBP)
Canadian Science Publishing:	\$600k US (converted from CAD)
TOTAL:	US \$85.4 Million / CA \$109.3 Million

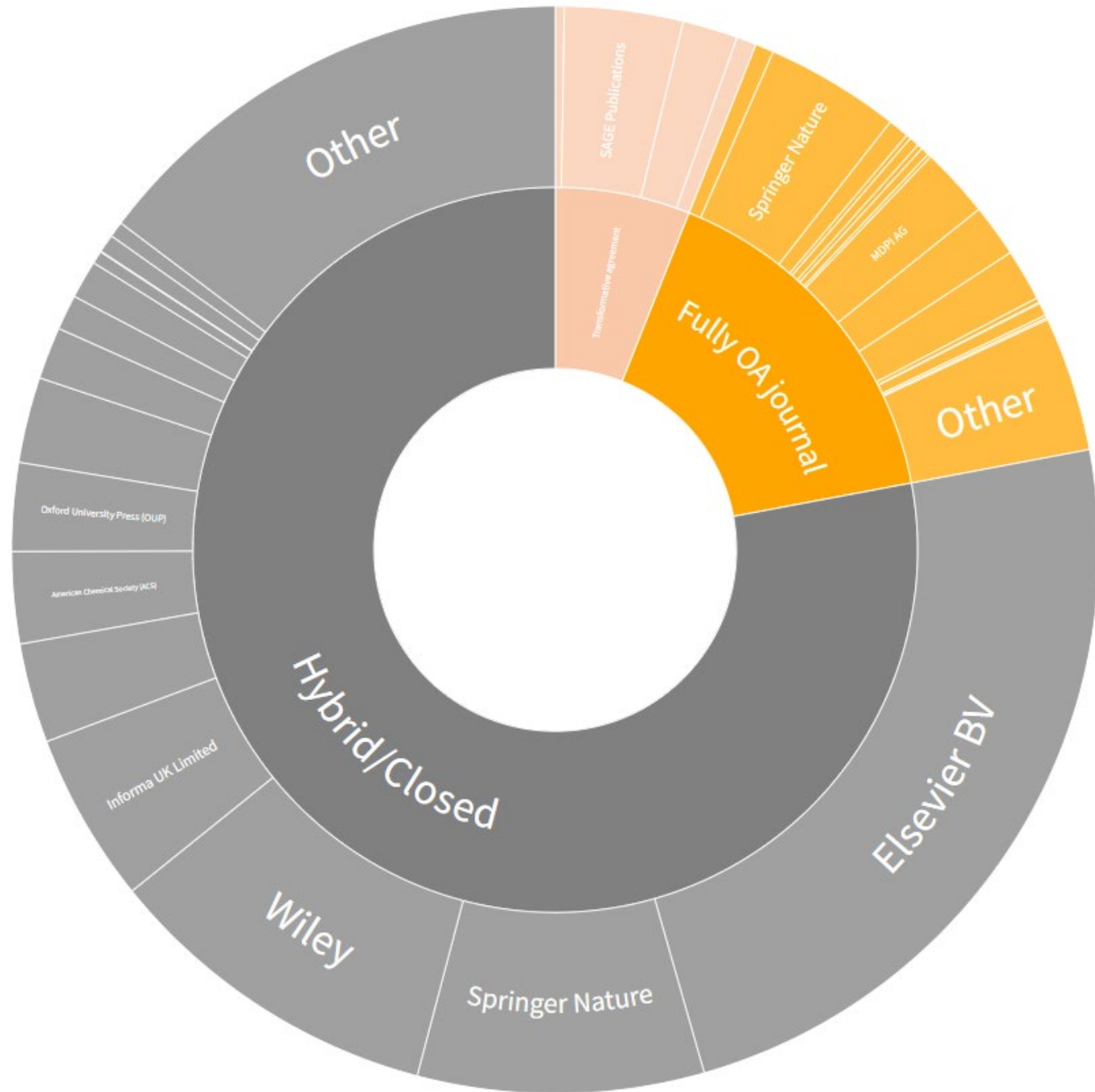
Transformative Agreements

- “Transformative agreement” is an umbrella term describing those agreements negotiated between institutions (libraries, national and regional consortia) and publishers in which former **subscription expenditures are repurposed to support open access publishing**, thus transforming the business model underlying scholarly journal publishing, gradually and definitively shifting from one based on toll access (subscription) to one in which publishers are remunerated a fair price for their open access publishing services.
 - -ESAC Registry (<https://esac-initiative.org/about/transformative-agreements/>)





- Elsevier: 24%
- Springer: 12%
- Wiley: 10%
- Taylor & Francis: 5%
- SAGE: 3%





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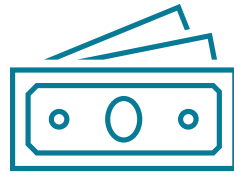
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National Perspective

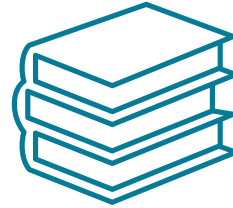
Craig Olsvik – Senior Manager, Licensing & Member Services

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Things we consider when approaching a transformative agreement



Current
subscription
spend



Publication
output of
Canadian
researchers



Transformative
agreements
successfully
negotiated by
other consortia



Canadian vs.
international
publishers



For-profit vs.
non-profit
publishers

CRKN-SAGE Agreement

- Legacy SAGE License
 - Subscription agreement in place 2007-2020
 - 40% APC discount negotiated for 2018-2020 (both hybrid and gold)
 - Subscription cost in 2020: US\$ 5.3 Million
- Transformative agreement in place for 2021-2023
 - APCs waived for all hybrid journal articles published by CRKN-affiliated corresponding authors
 - 40% APC discount remains for Gold OA journals
 - Cost in 2021: US\$ 5.5 Million (1 large institution joined license, accounting for increase in cost)
 - 3% increase in 2022 and 2023

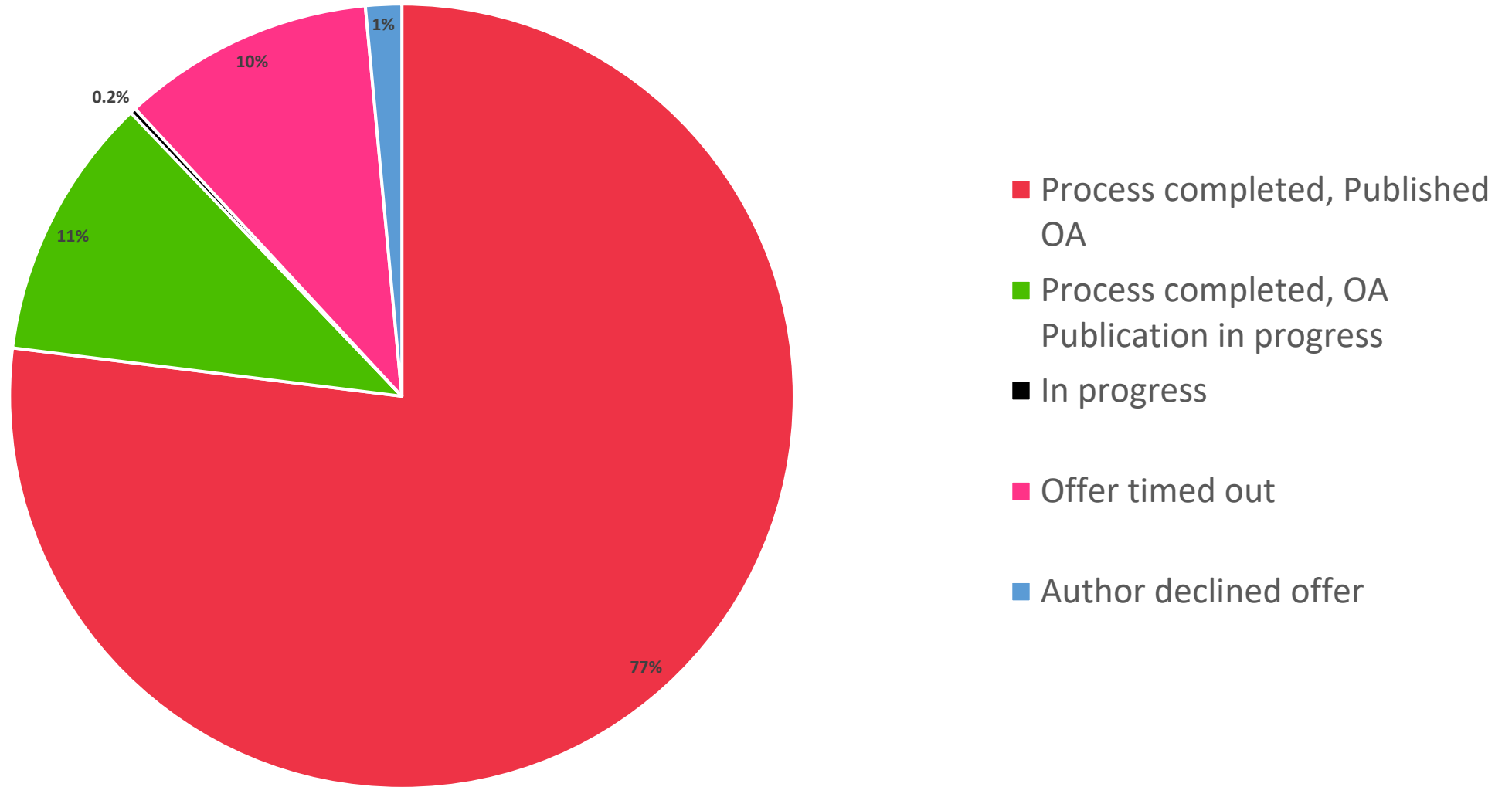
2021 Results – Gold OA (40% APC Discount)

Article Status	Number of Articles	% of Articles
Process completed, Published OA	288	89%
Process completed, OA Publication in progress	30	9%
In progress	6	2%
Grand Total	324	100%

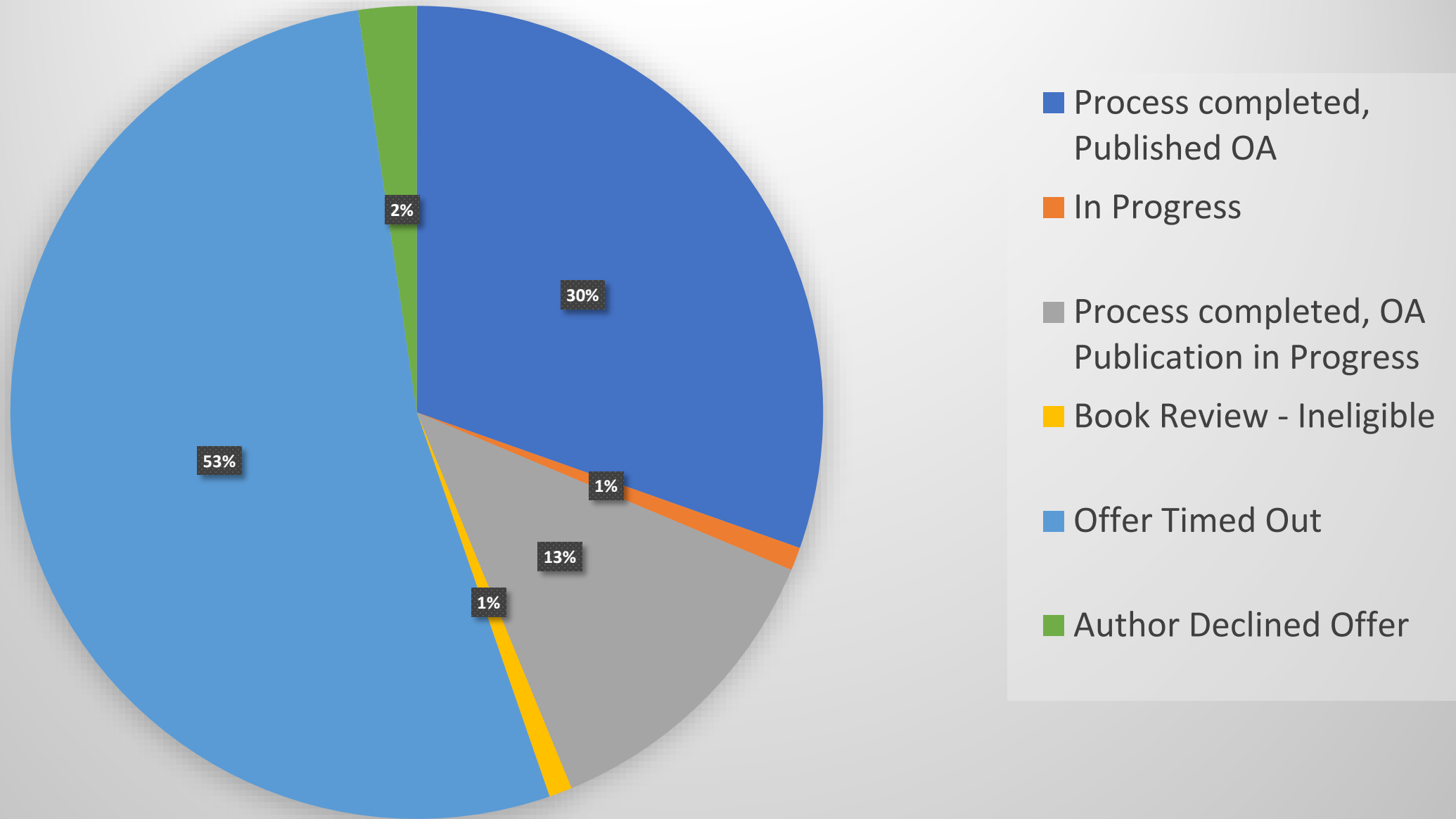
2021 Results – Hybrid OA (No APC)

Article Status	Number of Articles	% of Articles
Process completed, Published OA	1604	77%
Process completed, OA Publication in progress	227	11%
In progress	5	0.2%
Offer timed out	217	10%
Author declined offer	31	1%
Grand Total	2084	100%

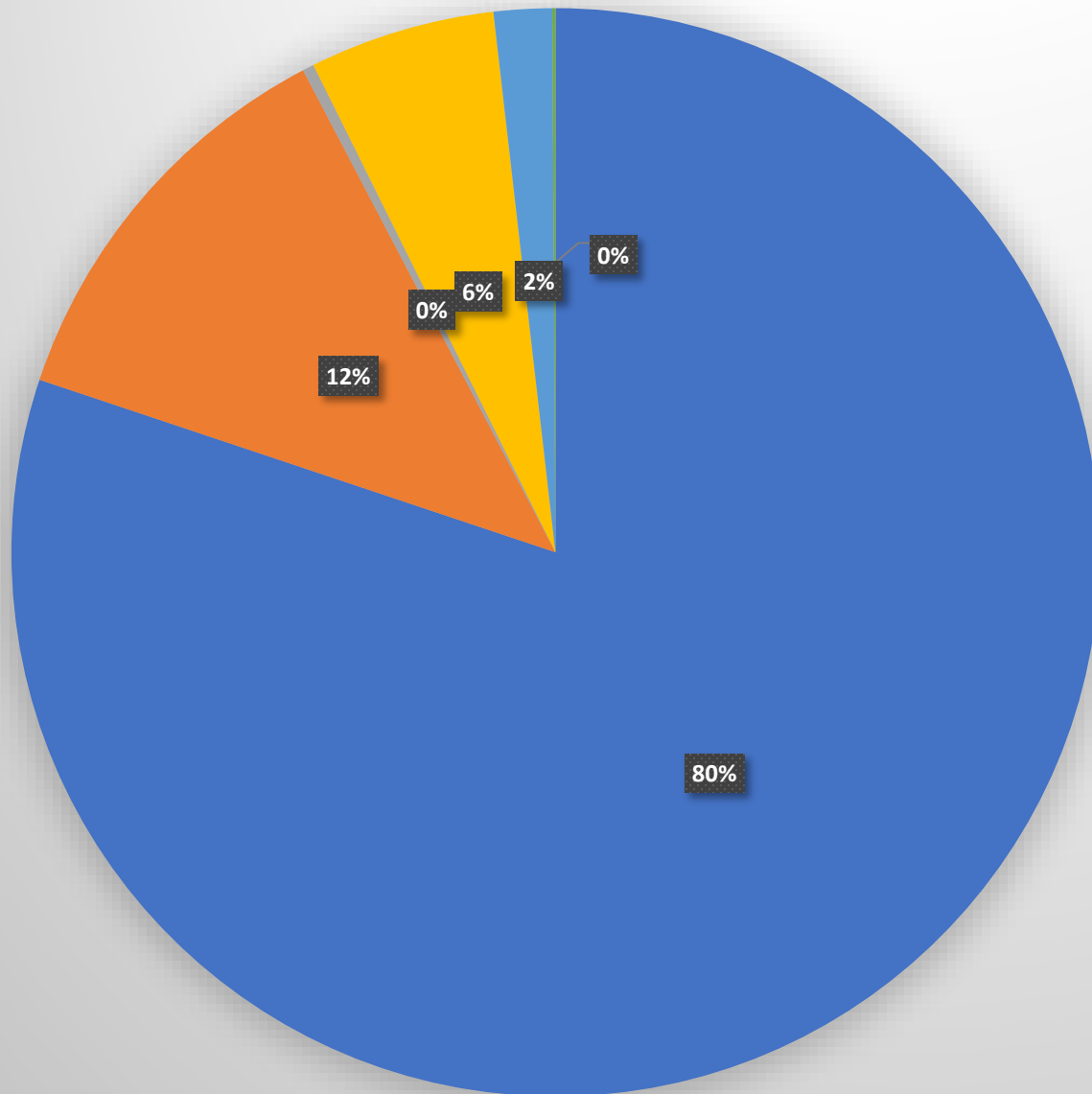
2021 Hybrid Articles - OA Status



Re-opening Offer for Author Time Outs

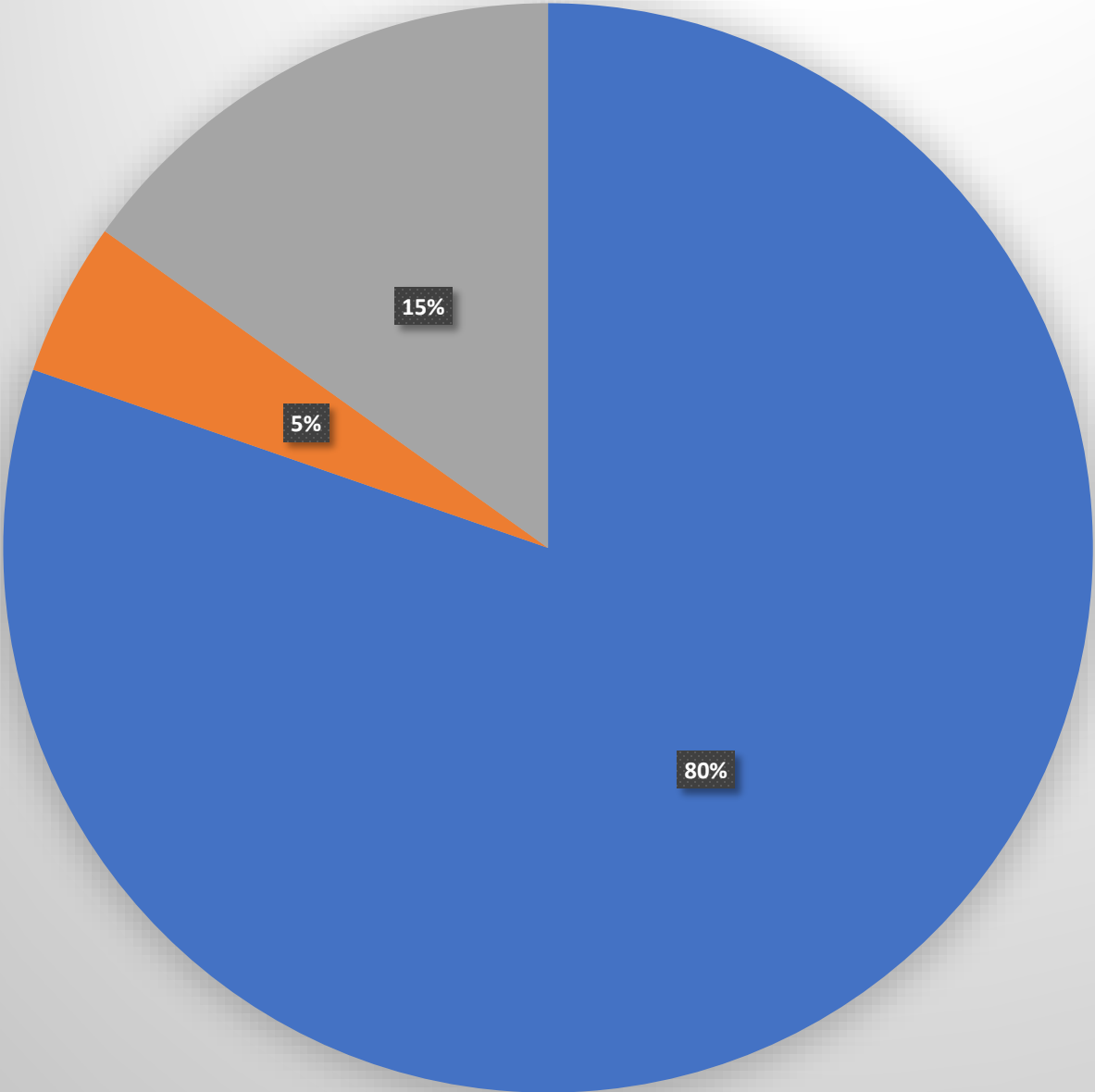


Final 2022 Hybrid OA Results



- Process completed, Published OA
- Process completed, OA Publication in progress
- In progress
- Offer timed out
- Author declined offer
- Ineligible

2020 Publication Output (All CRKN Members; 2493 Articles)



- Subscription
- Hybrid OA
- Gold OA

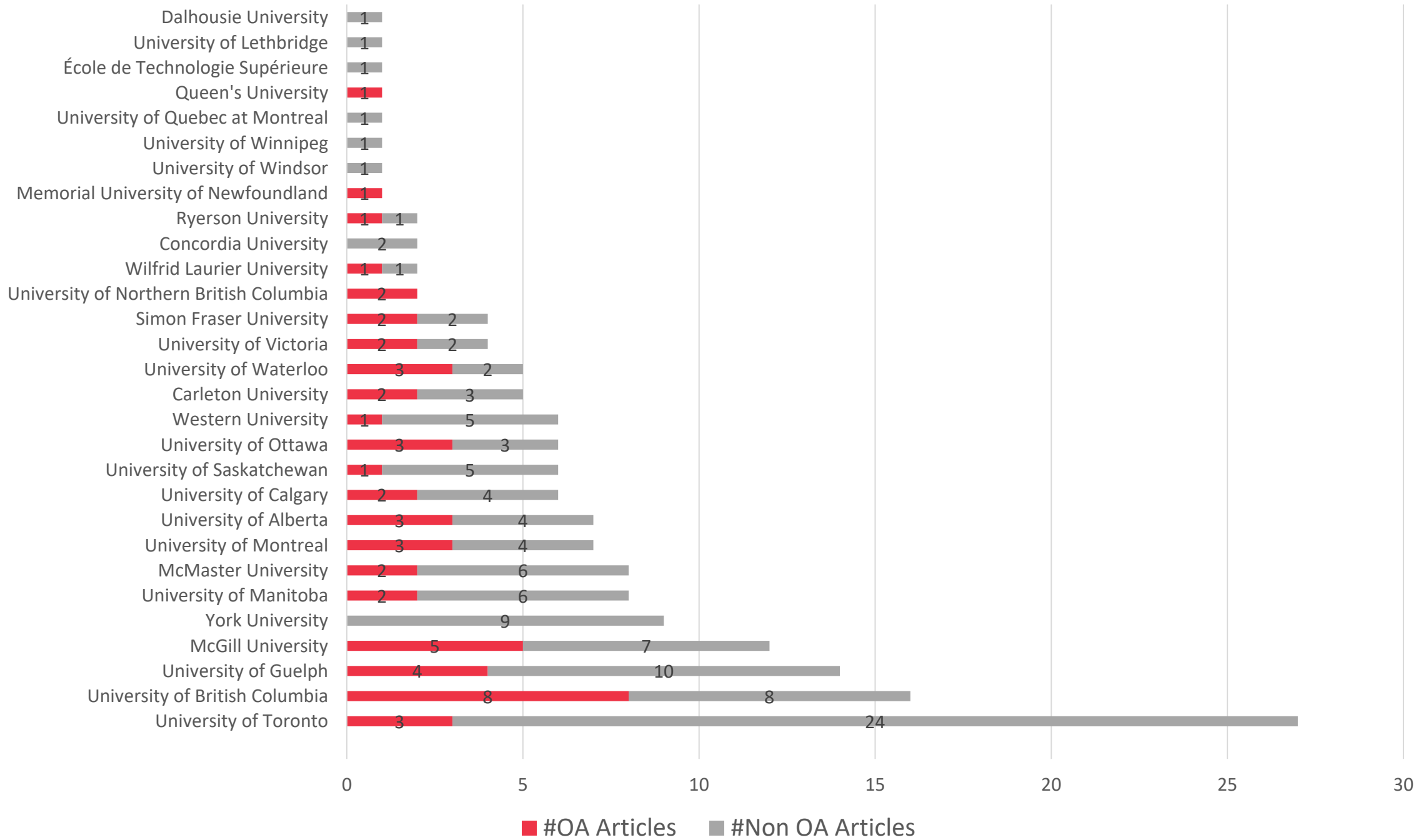
CRKN-Cambridge Agreement

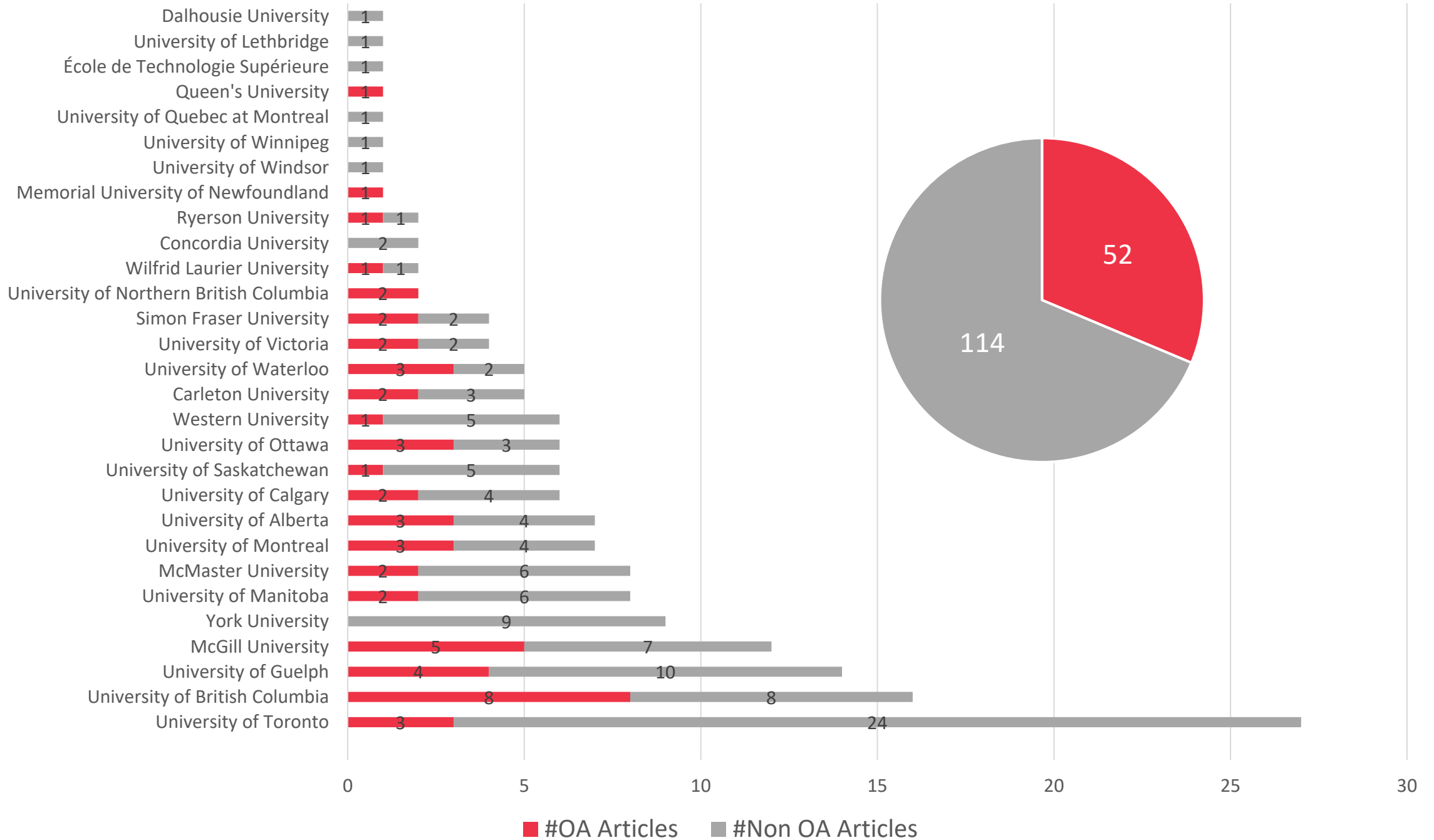
- Legacy CUP License
 - Subscription agreement in place 2007-2021
 - 20% APC discount negotiated for 2019-2021 (both hybrid and gold)
 - Subscription cost in 2021: US\$ 1.9 Million
- Transformative agreement in place for 2022-2024
 - APCs waived for all hybrid *and* gold journal articles published by CRKN-affiliated corresponding authors
 - Cost in 2022: US\$ 2 Million
 - Added US \$47,000; 3-year average of non-funded APCs paid by authors
 - 0% increase in 2022
 - 1% increase in 2023 and 2024

Institution	Country	#OA Articles	#Non OA Articles	#Articles	Order Amount USD	Net Amount USD
University of Victoria	Canada	2	2	4	5,939	0
McGill University	Canada	5	7	12	16,275	3,418
University of Montreal	Canada	3	4	7	12,834	0
University of Toronto	Canada	3	24	27	7,665	2,492
University of Alberta	Canada	3	4	7	7,560	1,852
University of British Columbia	Canada	8	8	16	15,872	2,329
York University	Canada		9	9		
University of Manitoba	Canada	2	6	8	11,970	0
University of Calgary	Canada	2	4	6	5,315	0
Memorial University of Newfoundland	Canada	1		1	3,255	0
McMaster University	Canada	2	6	8	7,690	5,011
University of Saskatchewan	Canada	1	5	6	2,500	0
University of Northern British Columbia	Canada	2		2	3,255	0
University of Windsor	Canada		1	1		
University of Winnipeg	Canada		1	1		
Wilfrid Laurier University	Canada	1	1	2	3,255	0
University of Ottawa	Canada	3	3	6	2,797	3,161
Carleton University	Canada	2	3	5	9,320	2,540
University of Guelph	Canada	4	10	14	17,920	0
University of Quebec at Montreal	Canada		1	1		
Western University	Canada	1	5	6	5,460	0
Concordia University	Canada		2	2		
Queen's University	Canada	1		1	3,069	0
École de Technologie Supérieure	Canada		1	1		
University of Waterloo	Canada	3	2	5	7,824	0
University of Lethbridge	Canada		1	1		
Dalhousie University	Canada		1	1	3,255	0
Simon Fraser University	Canada	2	2	4	6,510	0
Ryerson University	Canada	1	1	2	2,777	3,138
		52	114	166	162,317	23,941

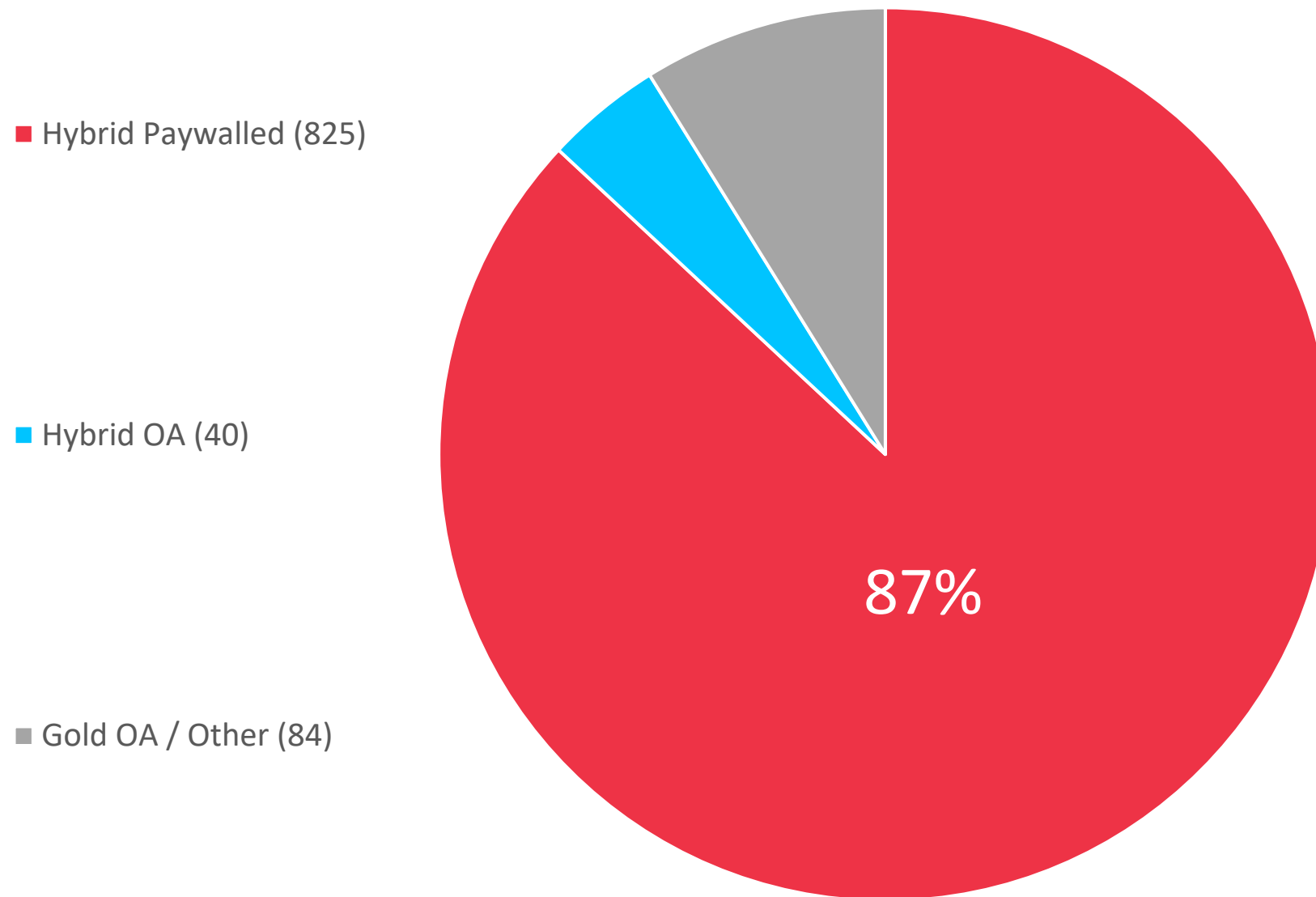
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McMaster University	Canada	2	6	8	7,690	5,011
University of Saskatchewan				6	2,500	0
University of Northern British Columbia				2	3,255	0
University of Windsor				1		
University of Winnipeg				1		
Wilfrid Laurier University	Canada	1	1	2	3,255	0
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Queen's University	Canada	1		1	3,069	0
École de Technologie Supérieure	Canada		1	1		
University of Waterloo	Canada	3	2	5	7,824	0
University of Lethbridge	Canada		1	1		
Dalhousie University	Canada		1	1	3,255	0
Simon Fraser University	Canada	2	2	4	6,510	0
Ryerson University	Canada	1	1	2	2,777	3,138
		52	114	166	162,317	23,941

OAqueries@cambridge.org





2020 Publication Output (All CRKN Members)



CRKN-IOP Agreement

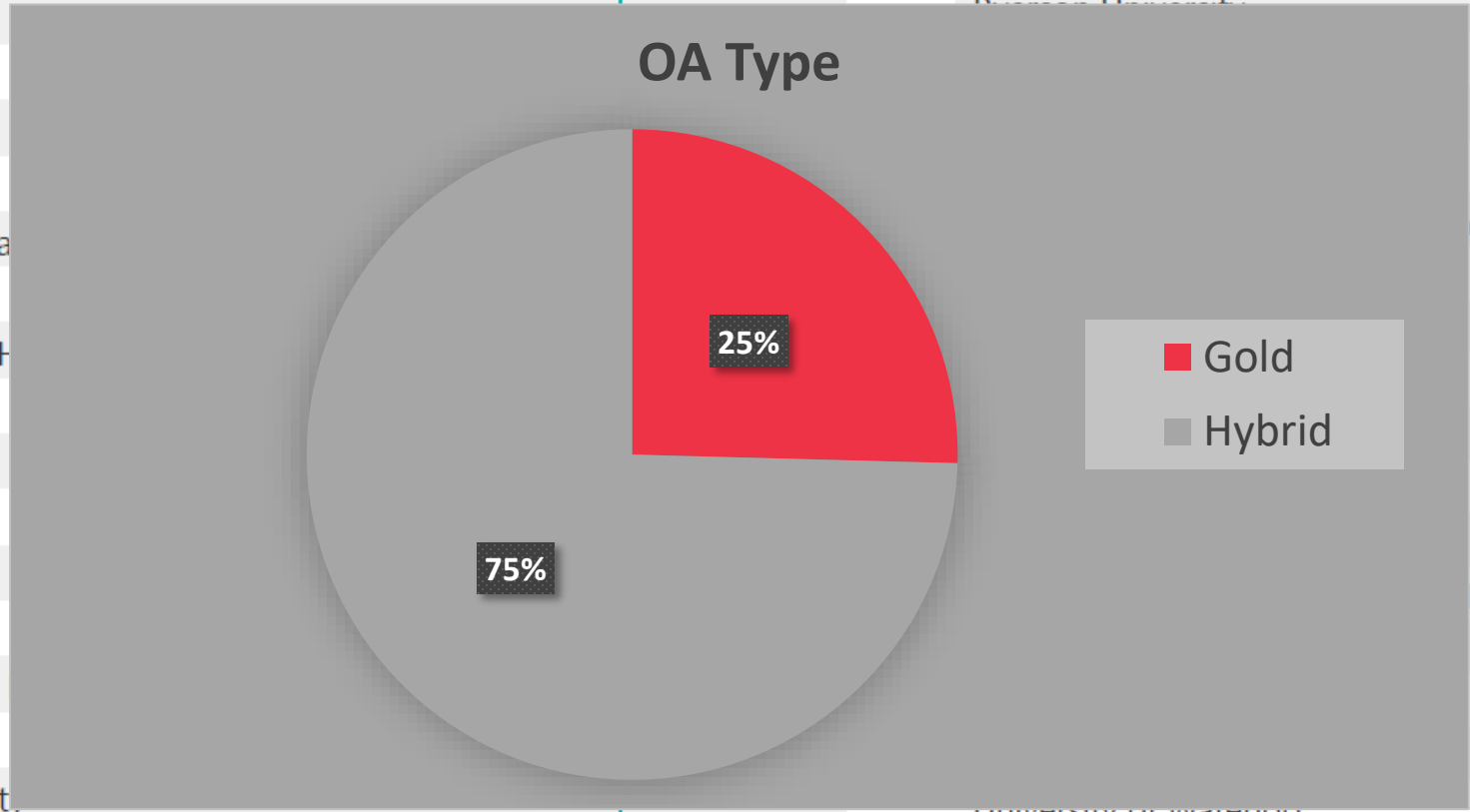
- Legacy IOP License
 - Subscription agreement in place 2001-2021
 - Subscription cost in 2021: US\$ 1.65 Million
- Transformative agreement in place for 2022-2024
 - APCs waived for all hybrid *and* gold journal articles published by CRKN-affiliated corresponding authors
 - Cost in 2022: US\$ 1.77 Million
 - 4% increase in 2022
 - 2% increase in 2023 and 2024

Institution Name (A-P)	2021	2022
Acadia University		
Alberta University		5
Athabasca University		
Bishop's University		
Brandon University		
Carleton University		
Concordia University		2
CRKN - Canadian Research Knowledge Network		
Dalhousie University		9
ETS Quebec School of Higher Technology	1	
Lakehead University		
Lethbridge University		
MacEwan University		
McGill University		1
McMaster University		2
Memorial University of Newfoundland		
Moncton University		
Mount Allison University		
Mount Saint Vincent University		
New Brunswick University		1
Ontario Tech University		
Polytechnique Montréal		1

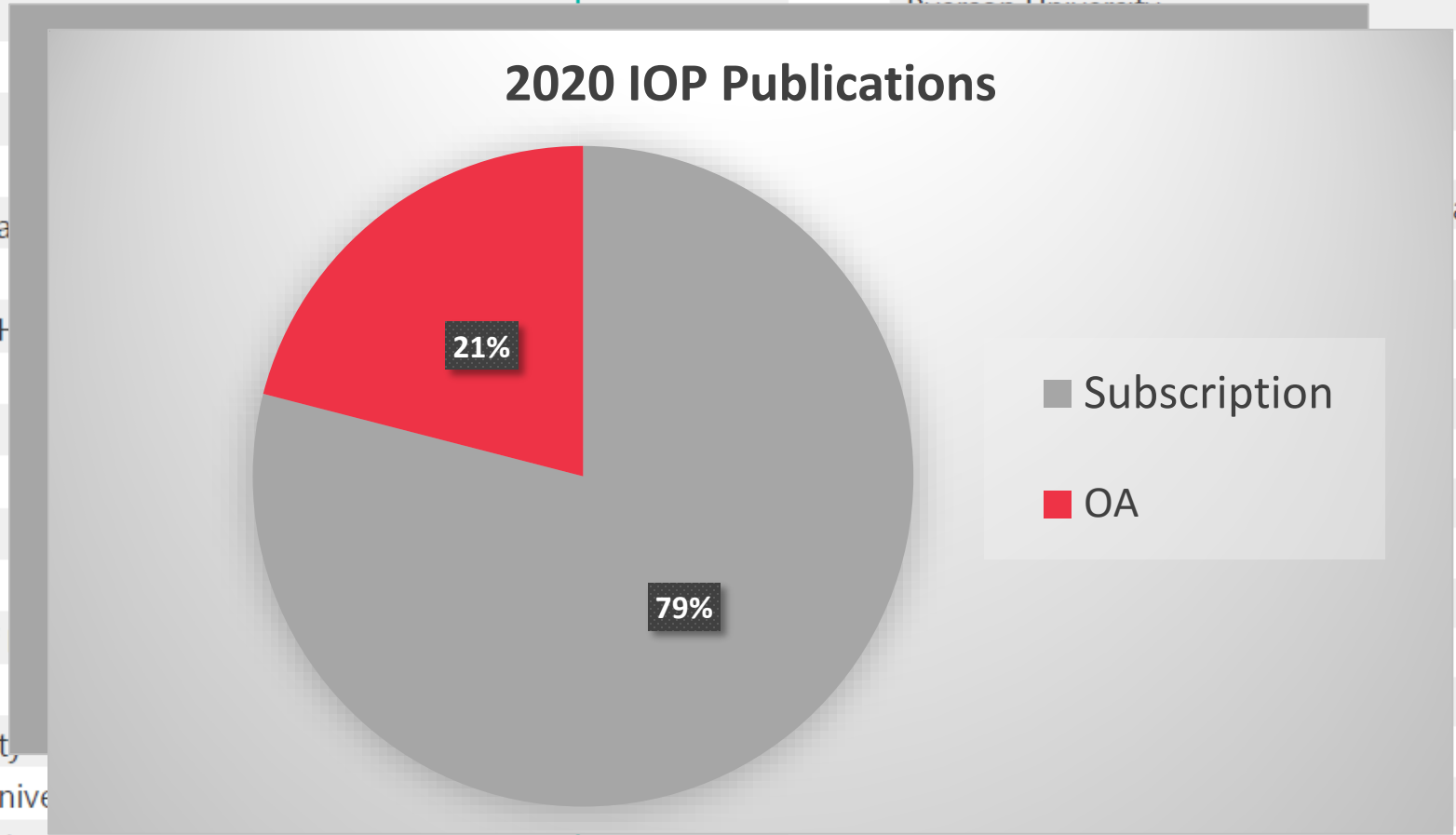
Institution Name (Q-Z)	2021	2022
Queen's University		
Regina University		
Royal Military College of Canada		
Ryerson University		1
Simon Fraser University		3
St Francis Xavier University		1
St Mary's University		
The University of British Columbia Okanagan		3
The University of Winnipeg	1	
Universite de Sherbrooke		2
University of Calgary		2
University of Guelph		
University of Manitoba		1
University of Ottawa		
University of Prince Edward Island		
University of Saskatchewan		2
University of Toronto		5
University of Victoria		
University of Waterloo	2	8
University of Windsor		1
Western University	1	2
Wilfrid Laurier University		
York University		2

Institution Name (A-P)	2021	2022
Acadia University		
Alberta University		5
Athabasca University		
Bishop's University		
Brandon University		
Carleton University		
Concordia University		
CRKN - Canadian Research		
Dalhousie University		
ETS Quebec School of H		
Lakehead University		
Lethbridge University		
MacEwan University		
McGill University		
McMaster University		
Memorial University of		
Moncton University		
Mount Allison University		
Mount Saint Vincent University		
New Brunswick University		1
Ontario Tech University		
Polytechnique Montréal		1

Institution Name (Q-Z)	2021	2022
Queen's University		
Regina University		
Royal Military College of Canada		
Simon Fraser University		1
University of Alberta		3
University of British Columbia		1
University of Guelph		
University of Manitoba		
University of New Brunswick		
University of Okanagan		3
University of Regina	1	
University of Saskatchewan		2
University of Toronto		2
University of Waterloo		
University of Victoria		1
University of Windsor		
Western University		2
Wilfrid Laurier University		
York University		2



Institution Name (A-P)	2021	2022	Institution Name (Q-Z)	2021	2022
Acadia University			Queen's University		
Alberta University		5	Regina University		
Athabasca University			Royal Military College of Canada		
Bishop's University			St. Mary's University		1
Brandon University			St. Thomas University		3
Carleton University			University of Regina		1
Concordia University			University of Saskatchewan		3
CRKN - Canadian Research Knowledge Network			University of Waterloo	1	
Dalhousie University			University of Windsor		2
ETS Quebec School of Management			Western University		2
Lakehead University			Wilfrid Laurier University		1
Lethbridge University			York University		
MacEwan University					
McGill University					
McMaster University					2
Memorial University of Newfoundland					5
Moncton University					
Mount Allison University				2	8
Mount Saint Vincent University					1
New Brunswick University		1			
Ontario Tech University				1	2
Polytechnique Montréal		1			





Looking Ahead

- Canadian Science Publishing
- Wiley
- Érudit Partnership
- Public Library of Science – All Titles
- Webinars #2, #3, and #4 leading up to CRKN Conference

Thank you!

Craig Olsvik – Senior Manager, Licensing & Member Services

colsvik@crkn.ca / 613-907-7033

Breakout Rooms | Salle de sous-groupe

Room 1 : Strategies for communicating transformative agreements on campus

Salle 1: Stratégies de communication pour les accords transformatifs sur le campus

Room 2 : Measuring the success of transformative agreements

Salle 1: Mesurer le succès des accords transformatifs

Room 3 : Workflows for transformative agreement implementation

Salle 3: Les flux de travail pour la mise en œuvre des accords transformatifs

Breakout Rooms | Salle de sous-groupe

What is one take-away from the breakout room discussions?

Quel est l'un des points à retenir des discussions en salle de sous-groupe ?



Breakout Rooms | Salle de sous-groupe

What outstanding questions about transformative agreements do you have?

Quelles autres questions avez-vous au sujet des accords transformatifs?



Breakout Rooms | Salle de sous-groupe

What topics would you like to see discussed at future webinars?

Quels sujets aimeriez-vous voir aborder lors des prochains webinaires ?



Thank you!
Merci!