

# Subscribe to Open: An Innovative Approach to Achieve Open Access



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# Journal of City Climate Policy and Economy



C40 is a network of mayors of nearly 100 world-leading cities collaborating to deliver the urgent action needed right now to confront the climate crisis.



# Why do cities matter?

Cities occupy  
**2%**  
of the world's  
landmass

Cities consume  
around  
**75%**  
of the world's  
energy

Cities  
create over  
**70%**  
of energy-related  
greenhouse gas  
emissions

Cities  
generate over  
**80%**  
of the  
world's GDP

# Raising Climate Ambition

The Paris Agreement set out a target that emissions must be constrained “well below 2 degrees celsius”, rather than the 1.5 degrees demanded by the most vulnerable countries and leading scientists

**C40 boldly went beyond the 2 degree target set out in the Paris Agreement, well ahead of the global scientific community, to establish 1.5 degrees as the necessary focus for action.**

Through 1.5°C climate action plans, high-impact Accelerators and, the Cities Race to Zero programme, the nearly 100-mayor network raises climate ambition within the network and beyond.

# The Journal of City Climate Policy and Economy

## PROVIDE EVIDENCE

Produce timely, evidence-based research which drives the urban climate agenda towards an **equitable and resilient world well under 1.5°C**

## PROVIDE A NARRATIVE

Present a clear, cogent narrative of the **economic and political strategies necessary to stop climate breakdown**, and which counters arguments designed to disrupt those efforts - particularly from vested interests

## EMPOWER CITY LEADERS

Empower mayors, policymakers and urban practitioners, with analysis, language, policies and examples of concrete actions that **reinvent and reinvigorate the idea of effective, purposeful government-led action**

# Providing equitable access to the research cities need

C40 represents diverse mayors and cities from across the Global North and Global South.

Mayors, city policy makers and urban practitioners need access to wide research that addresses their individual and local needs.

An open access model helps to facilitate this - by ensuring there is no financial barrier for authors to **publish** or for mayors, councillors, practitioners and others to **access** the research produced in the journal.



UNIVERSITY OF  
TORONTO PRESS  

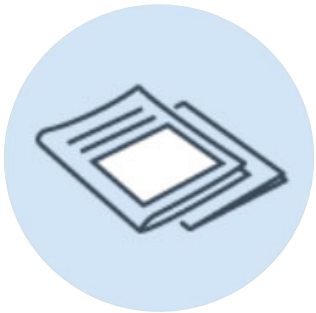
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UTORONTOPRESS.COM

# About Canada's First Subscribe to Open Journal



# About UTP



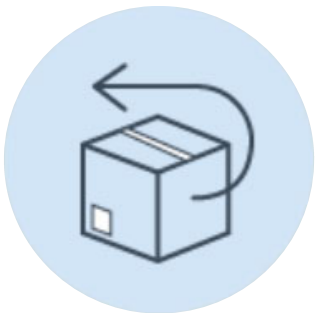
## **Journals**

- 47 journals
- 2000+ articles per year
- Read in 178 countries



## **Book Publishing**

- Scholarly monographs
- Textbooks
- Trade non-fiction



## **Distribution**

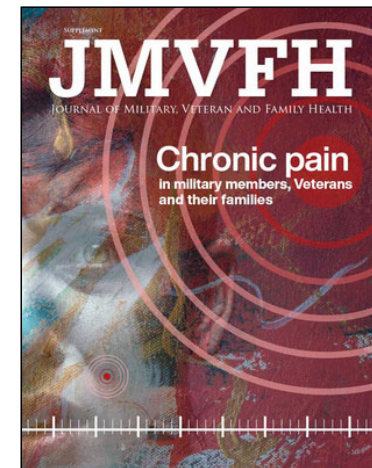
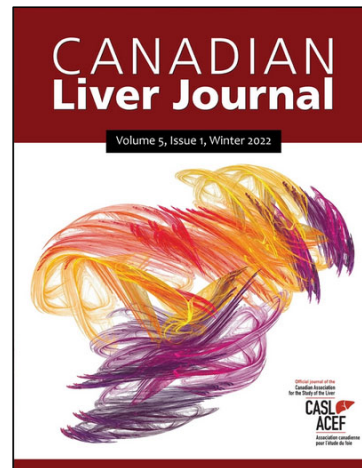
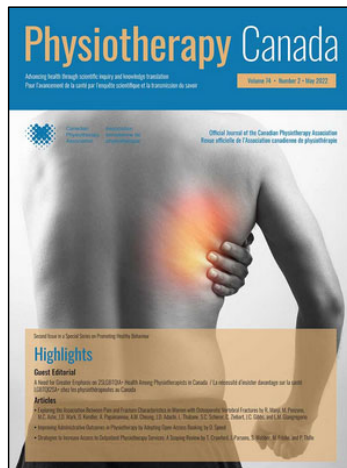
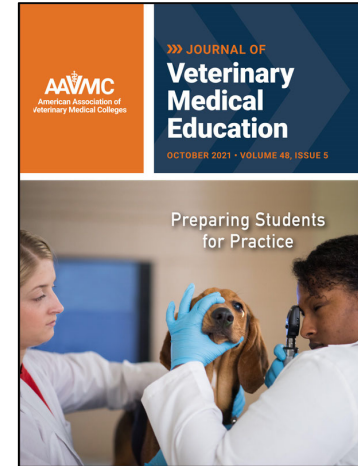
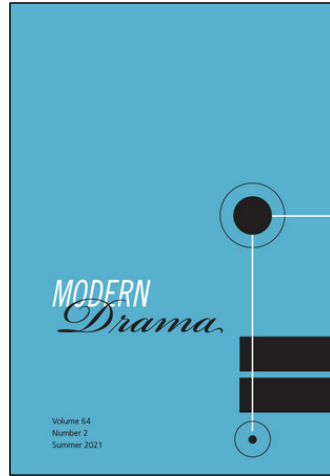
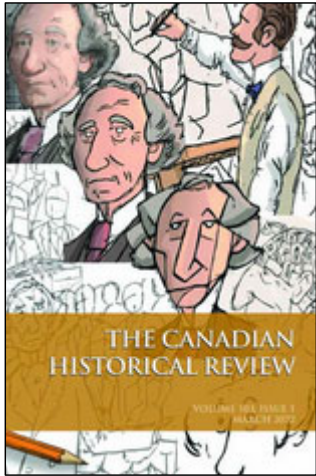
- 120 publishers
- Warehouses in Toronto and New York State



## **U of T Bookstores**

- 5 stores across 3 campuses
- A hub for student life

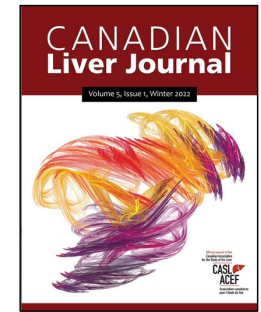
# UTP Journals



# Open Access at UTP

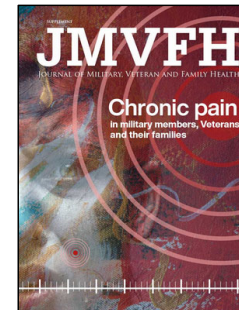
- Gold open access

- Free to read
- Supported by article processing charges
- Canadian Liver Journal
- Journal of the Association of Medical Microbiology and Infectious Disease



- Diamond open access

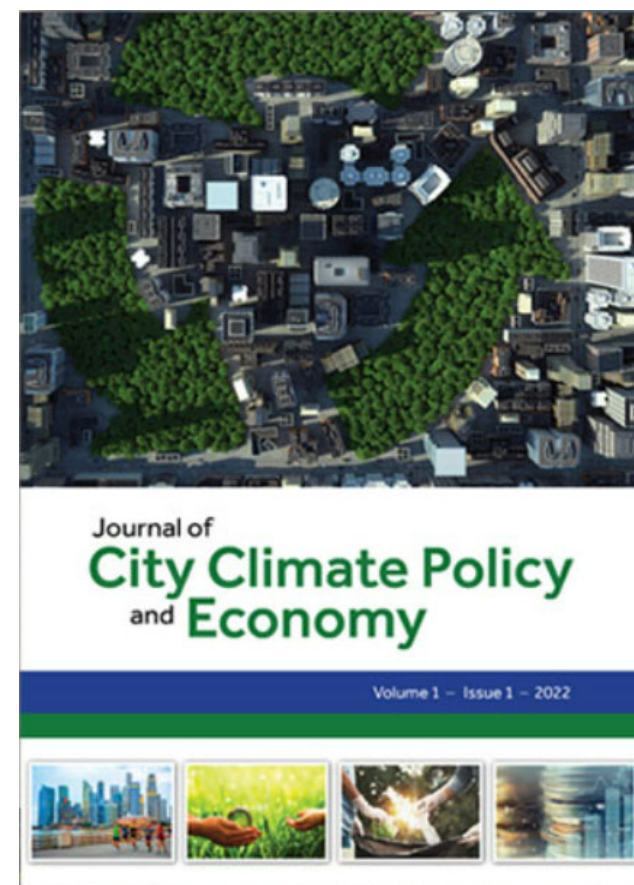
- Free to read
- Free to publish
- Supported by third party, such as research center
- Journal of Military, Veteran and Family Health
- National Gallery of Canada Review



## Goals for the Journal's Access Model

- Broad global dissemination of research, regardless of availability of financial resources for purchasing scholarly content
- Relatively low cost for research community (e.g., universities, colleges)
- Relatively low cost for policy community (e.g., municipalities)
- Free to read for end user (e.g., researcher, public)
- Free to publish for all authors (i.e., no APCs)
- Financial sustainability for the journal with a model that allows for growth in publication output

<https://jccpe.utpjournals.press/subscribe-to-open>



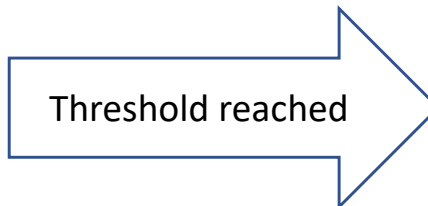
# Subscribe to Open



**March 31, 2023**  
Subscription threshold to be reached for 2023 volume to become open access

## Traditional subscription model

- Library subscribes
- Library receives perpetual access to volume purchased
- All faculty and students on campus have access



Volume year becomes open access

Volume year remains behind paywall. Only libraries that subscribe have access

## Benefits of the Model



Libraries	Authors	Global Community
<ul style="list-style-type: none"><li>• Perpetual access to purchased volume, whether or not the open access threshold is met</li></ul>	<ul style="list-style-type: none"><li>• Equity in opportunity to publish with no article processing charges, regardless of available research funding</li></ul>	<ul style="list-style-type: none"><li>• Extends access of high-quality content outside academia and across global communities</li></ul>
<ul style="list-style-type: none"><li>• Exclusive access to advance access articles, which are published within weeks of acceptance and in advance of issue publication</li></ul>	<ul style="list-style-type: none"><li>• Open Access Publish Guarantee for lead authors from a subscribing institution</li></ul>	<ul style="list-style-type: none"><li>• Equitable and fair global scholarship</li></ul>
<ul style="list-style-type: none"><li>• Open Access Publish Guarantee for lead authors from a subscribing institution allowing these authors to publish their articles open access without charge, whether or not the open access threshold is met</li></ul>	<ul style="list-style-type: none"><li>• Broad dissemination of scholarship both within and beyond the academic environment</li></ul>	<ul style="list-style-type: none"><li>• Promotes more inclusive and diverse authorship</li></ul>

## What's Next

### First issue launch: C40 Summit, October 2022



#### About the C40 Summit

The C40 World Mayors Summit will bring together the mayors of global and regional cities, alongside business leaders, philanthropists, campaigners, youth leaders, scientists and residents, to share bold ideas, showcase innovative solutions and stand together to create a sustainable, prosperous and equitable future.

## Subscribe to Open Community of Practice

- The Community of Practice provides a forum for publishers and libraries to share their experiences with the model
- Membership is open to various stakeholders in the scholarly ecosystem: publishers, libraries, funders, subscription agents, etc.
- <https://subscribetoopencommunity.org/>





# Evaluating and Acquiring Subscribe to Open Journals

Sharla Lair | LYRASIS

# How are libraries participating in OA?

## *Balancing Culture and Behavior Change*

- Engaging across campus
- Drafting principles and values to guide investment decisions
- Unbundling/disinvesting => Reinvesting in Open
- Enthusiastically looking for more equitable Open models

# Principles & Values Resources

## Principles & Values Statements

- [CRKN Licensing Principles](#)
- [MIT Libraries Framework for Publisher Contracts](#)
- [Iowa State University Libraries Principles & Strategies to Support Openness](#)
- [KU Leuven Fair Open Access](#)
- [NERL Demands a Better Deal](#)
- [GWLA Licensing Principles](#)
- [BTAA The Big Collection](#)

## Tools for Operationalizing Values

- [NGLP Values and Principles Framework and Assessment Checklist](#)
- [SPARC-COAR Good Practice Principles](#)
- [University of California Scholarly Transformation Advice and Review \(STAR\) Team Criteria Summary](#)
- [LYRASIS Open Access Community Investment Program](#)

# Where to find Subscribe to Open Journals to Support

[The State of Subscribe-to-Open Among Scholarly Publishers @ Subscribe to Open Community of Practice](#)

[Subscribe to Open \(S2O\) Journals @ Open Access Directory](#)

August 22 2022  
Maintained by John Willinsky

The State of Subscribe-to-Open Among Scholarly Publishers  
See also the S2O [journal list](#) maintained by Ross Mounce.

	Publisher	2020	2021	2022	2023
1	<a href="#">Amsterdam University Press</a>	5	5	6	6
2	<a href="#">Annual Reviews</a>	5	8	8	51
3	<a href="#">Berghahn Books</a> <sup>a, b, c, d</sup>	13	13	14	14
4	<a href="#">Brepols</a> <sup>a</sup>	-	-	1	1
5	CAIRN	-	-	3	3
6	De Gruyter	-	1	12	12
7	<a href="#">Duncker &amp; Humblot</a>	-	-	2	2
8	EDP Sciences	1	6	7	7
9	<a href="#">EMS Press</a> <sup>a, b</sup>	-	10	10	10
10	<a href="#">IWA Publishing</a> <sup>c</sup>	-	10	10	10

Page Discussion Read View source View history Search Open Access Directory

## Subscribe to Open (S2O) journals

**OAD** This list is a part of the Open Access Directory.

- This is a list of OA journals using 'Subscribe to Open' (S2O) model. In most cases the journals converted from full access (TA), but in some cases they were 'born' S2O or converted from a different OA model.
- When possible, include the publisher and date the journal adopted S2O.
- PSM = Physical Sciences and Mathematics, LS = Life Sciences, SSH = Social Sciences and Humanities
- Drawing on data from the [Subscribe to Open community website](#)
- Related lists in OAD: [Journals that converted from TA to OA](#).
- For real-time updates, some not yet reflected here, follow the [oa\\_subscribe\\_to\\_open](#) tag of the Open Access Tracking Project. (This tag library is crowd-sourced, and you can make it more comprehensive by taking part.) (OATP)

Journal Name	Year Founded	Year S2O-OA initiated	Publisher Location	Affiliation	Current Publisher	Discipline
<a href="#">Anthropological Journal of European Cultures</a>	1990	2020	USA	(none)	Berghahn Books	SSH
<a href="#">Anthropology of the Middle East</a>	2006	2020	USA	(none)	Berghahn Books	SSH
<a href="#">The Cambridge Journal of Anthropology</a>	1973	2020	USA	University of Cambridge (originally)	Berghahn Books	SSH
<a href="#">Conflict and Society</a>	2015	2020	USA	(none)	Berghahn Books	SSH
<a href="#">Environment and Society</a>	2010	2020	USA	(none)	Berghahn Books	SSH
<a href="#">Focaal</a>	1985	2020	USA	(none)	Berghahn Books	SSH
<a href="#">Journal of Legal Anthropology</a>	2013	2020	USA	(none)	Berghahn Books	SSH
<a href="#">Learning and Teaching</a>	2006	2020	USA	(none)	Berghahn Books	SSH
<a href="#">Migration and Society</a>	2016	2020	USA	(none)	Berghahn Books	SSH
<a href="#">Museum Worlds</a>	2013	2020	USA	(none)	Berghahn Books	SSH
<a href="#">Religion and Society</a>	2010	2020	USA	(none)	Berghahn Books	SSH
<a href="#">Sibacal</a>	2001	2020	USA	(none)	Berghahn Books	SSH
<a href="#">Social Analysis</a>	1979	2020	USA	(none)	Berghahn Books	SSH
<a href="#">Social Anthropology/Anthropologie Sociale</a>	1992	2022	USA	European Association of Social Anthropologists	Berghahn Books	SSH
<a href="#">Astronomy &amp; Astrophysics (A&amp;A)</a>	1969	2022	France	The European Southern Observatory (ESO)	EDP Sciences	PSM
<a href="#">Archiv für die Geschichte der Naturwissenschaften (AGNW)</a>	1995	2022	France	(none)	EDP Sciences	PSM

**Question 1: *How is the revenue model designed to make the journal program sustainable?***

- Tiered pricing or flat pricing?
- Existing spend or new spend?
- Ongoing spend or one-time spend?
- APC or Non-APC?

**Question 2: *What is the acquisition or procurement process for participating in OA programs?***

***“Normalizing OA Acquisitions”***

- Transactional Experience - You get a “thing”
  - Examples: Access to paywalled content or OA guarantee for affiliated authors
- Procurement-Appropriate Language
  - Examples: Membership, contribution, support, ongoing spend, one-time spend and...subscription
- Agreements
  - Participation agreements or license agreements
  - Authorized use, business terms, legal safeguards, technical expectations, OA-specific terms

**Question 3: *Given this is open access, what other criteria, beyond what we use to evaluate paywalled content, should we consider using to evaluate OA programs?***

- Local value and impact
  - Citation Data; Author and Editorial Board Affiliation; Affordable Learning Initiatives; Local Policy Impact
- High quality use and impact
  - Course adoption ([Open Syllabus Project](#)); Local and Global Policy Impact
- Principles and Values Statements Alignment
  - Governance Structure; Copyright and Author Rights; Discoverability

# Take action! Put your money where your mission is

- Establish principles and values as well as mechanisms and tools for operationalizing them
- Invest in your mission **FIRST**
  - Consider creating a budget line specifically for investing in new OA programs
    - It is ok to start small - starting is the important part
  - Diversify and **balance** your investments
  - Look for opportunities to invest in publishers that align with your mission
  - **Keywords:** Academic-led, Scholar-led, Diamond OA,  
Collective/Collaborative Funding, Membership, Subscribe to Open,